

## Abstract

In this project we will formalize and prove Theorem 1 of Featherweight X10<sup>1</sup>, for an *abstract expression language*. We will also formalize a notion of sequential programs and show that such a property is preserved by small-step semantics.

## 1 Language

(Text adapted from the FX10 paper.)

The semantics of FX10 uses the binary operator  $\parallel$  in the semantics of `async`, it uses the binary  $\triangleright$  operator in the semantics of `finish`, and it uses the constant  $\surd$  to model a completed computation. A state in the semantics consists of a tree  $T$  that describes the code executing. The internal nodes of  $T$  are either  $\parallel$  or  $\triangleright$ , while the leaves are  $\surd$  or  $\langle s \rangle$ , where  $s$  is a statement.

As an example of how the semantics works, we will now informally discuss an execution of a program. The execution begins with a `finish` statement.

$$\begin{aligned} \langle \mathbf{finish} \{ \mathbf{async} \{ s_2 \}; s_3 \}; s_1 \rangle &\Rightarrow \\ \langle \mathbf{async} \{ s_2 \}; s_3 \rangle \triangleright \langle s_1 \rangle &\Rightarrow \\ \langle s_2 \rangle \parallel \langle s_3 \rangle \triangleright \langle s_1 \rangle &\Rightarrow \end{aligned}$$

The first step illustrates the semantics of `finish` and introduces  $\triangleright$  to signal that the left-hand side of  $\triangleright$  must complete execution before the right-hand can proceed. The second step illustrates the semantics of `async` and introduces  $\parallel$  to signal that  $e_2$  and  $e_3$  should proceed in parallel. The two sides of  $\parallel$  can execute in parallel, which we model with an interleaving semantics. When one of the sides completes execution, it will reach the state  $\surd$ . For example if  $\langle s_3 \rangle \Rightarrow \surd$ , then the semantics can do  $\langle s_2 \rangle \parallel \langle s_3 \rangle \Rightarrow \langle s_2 \rangle \parallel \surd \Rightarrow \langle s_2 \rangle$ . When also  $s_2$  completes execution, the semantics can finally proceed with the right-hand side of  $\triangleright$ , that is  $\langle s_1 \rangle$ .

A statement is a sequence of instructions. Each instruction is either `skip`, evaluating an expression  $e$ , `async`, or `finish`.

$$s ::= \mathbf{skip} \mid e; s \mid \mathbf{async} \{ s \}; s \mid \mathbf{finish} \{ s \}; s$$

An `async` statement `async`  $\{ s_1 \}; s_2$  runs  $s_1$  in parallel with the continuation of the `async` statement  $s_2$ . The `async` statement is a lightweight notation for spawning threads, while a `finish` statement `finish`  $\{ s_1 \}; s_2$  waits for termination of all `async` bodies started while executing  $s_1$  before executing the continuation  $s_2$ .

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<sup>1</sup>Featherweight X10: A Core Calculus for Async-Finish Parallelism. Jonathan K. Lee, Jens Palsberg. In PPoPP'10. DOI: 10.1145/1693453.1693459.

$$T ::= T \triangleright T \mid T \parallel T \mid \langle s \rangle \mid \surd$$

A tree  $T_1 \triangleright T_2$  is convenient for giving the semantics of finish:  $T_1$  must complete execution before we move on to executing  $T_2$ . A tree  $T_1 \parallel T_2$  represents a parallel execution of  $T_1$  and  $T_2$  that interleaves the execution of subtrees, except when disallowed by  $\triangleright$ . A tree  $\langle s \rangle$  represents statement  $s$  running. A tree  $\surd$  has completed execution.

## 2 Small-step semantics

Rules for statements  $\boxed{s \Rightarrow T}$ :

$$\frac{e \Rightarrow e'}{e; s \Rightarrow \langle e'; s \rangle}$$

$$\frac{\text{value}(e)}{e; s \Rightarrow \langle s \rangle}$$

$$\frac{}{\text{skip} \Rightarrow \surd}$$

$$\frac{}{\text{async}\{s_1\}; s_2 \Rightarrow \langle s_1 \rangle \parallel \langle s_2 \rangle}$$

$$\frac{}{\text{finish}\{s_1\}; s_2 \Rightarrow \langle s_1 \rangle \triangleright \langle s_2 \rangle}$$

Rules for trees  $\boxed{T \Rightarrow T}$ :

$$\frac{}{\surd \triangleright T \Rightarrow T}$$

$$\frac{T_1 \Rightarrow T'_1}{T_1 \triangleright T_2 \Rightarrow T'_1 \triangleright T_2}$$

$$\frac{}{\surd \parallel T \Rightarrow T}$$

$$\frac{}{T \parallel \surd \Rightarrow T}$$

$$\frac{T_1 \Rightarrow T'_1}{T_1 \parallel T_2 \Rightarrow T'_1 \parallel T_2}$$

$$\frac{T_2 \Rightarrow T'_2}{T_1 \parallel T_2 \Rightarrow T_1 \parallel T'_2}$$

$$\frac{s \Rightarrow T}{\langle s \rangle \Rightarrow T}$$

### 3 Exercises

The homework shall be submitted via Blackboard as a single Coq file, named `FX10.v`.

**Exercise 1 (60%):** Formalize the small-step semantics and show that it enjoys *strong progress*. You will need to assume that the abstract expression language  $e$  enjoys strong progress.

**Theorem (Strong progress).** For every state  $T$ , either  $T = \surd$  or there exists  $T'$  such that  $T \Rightarrow T'$ .

**Exercise 2 (10%):** Prove that the FX10 language you have just defined can be instantiated with `Smallstep.tm` and prove that it enjoys strong progress. (The proof should be a simple application of the theorem of Exercise 1.)

**Exercise 3 (30%):** We want to be able to identify statically *sequential* trees. Write a type system  $\vdash T$  that rules out statements with `async` and trees with the parallel composition `||`, and show that such a type system enjoys type preservation. Finally, show that the type system is *inhabited*, that is, there exists at least one tree that is well-typed.

## 4 Template

```
Require Import Smallstep.
Section FX10.
  (* Abstract expressions are parameters of our theory. *)
  Variable exp: Set.
  Variable e_step: exp -> exp -> Prop.
  Variable value: exp -> Prop.
  Variable exp_progress: forall x,
    value x \ / exists y, e_step x y.
  (* Define our language *)
  Inductive stmt : Set := (* TODO *)
  Inductive tree : Set := (* TODO *)
  (* Define the small-steps semantics *)
  Inductive s_step:
    (* TODO: small-step relation for statements *)
  Inductive t_step:
    (* TODO: small-step relation for trees *)
  (* Exercise 1: *)
  Theorem t_strong_progress:
    (* TODO: Prove strong progress for [t_step] *)
End FX10.

Inductive s_seq: (* TODO: type system for statements *)
Inductive t_seq: (* TODO: type system for trees *)
(* Exercise 2: *)
Lemma subject_reduction:
(* TODO: Prove subject reduction *)
(* Exercise 3: *)
Lemma tm_strong_progress:
(* TODO: Prove strong progress for [t_step]
parameterized with [tm] *)
```