

# CS420

## Introduction to the Theory of Computation

### Lecture 13: Regular expressions & NFAs

Tiago Cogumbreiro

# Today we will learn...

- Converting REGEX to NFA
- Converting NFA to REGEX

# Soundness

All Regexes have an equivalent NFA

REGEX  $\rightarrow$  NFA

# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) =$

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(Proof follows by induction on the structure of  $R$ .)

# The **void** NFA

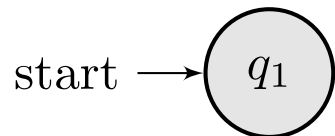
$$L(\text{void}) = \emptyset$$

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# The **nil** operator

$$L(\mathbf{nil}) = \{\epsilon\}$$

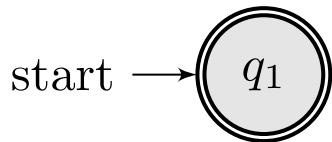
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The  $\text{char}(c)$  operator

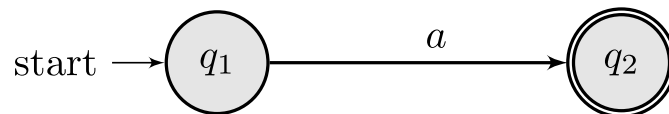
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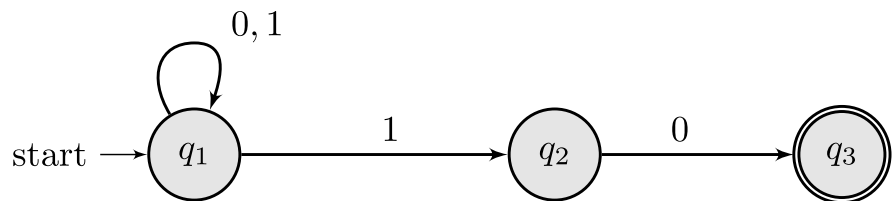
The **union**( $M, N$ ) automaton

$$L(\text{union}(M, N)) = L(M) \cup L(N)$$

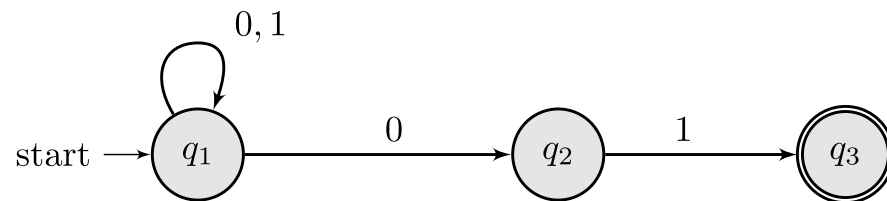
# The $\text{union}(M, N)$ automaton

$$L(\text{union}(M, N)) = L(M) \cup L(N)$$

$N_1$



$N_2$

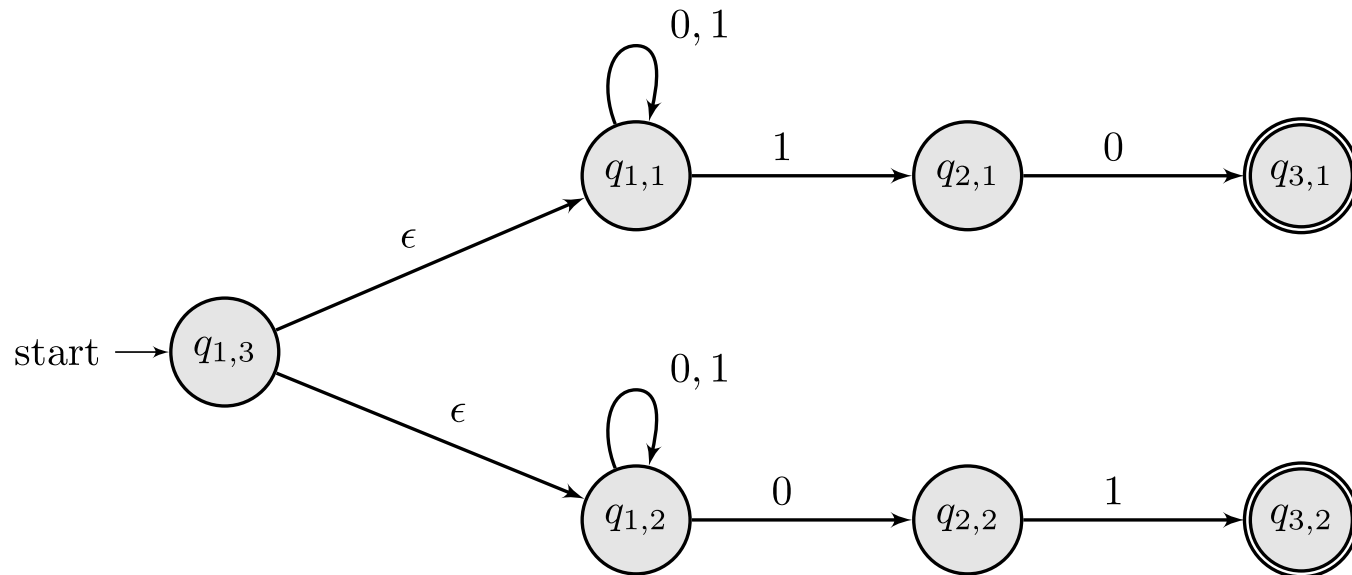


$\text{union}(N_1, N_2) = ?$

# The $\text{union}(M, N)$ operator

$$L(\text{union}(M, N)) = L(M) \cup L(N)$$

Example  $\text{union}(N_1, N_2)$



- Add a new initial state
- Connect new initial state to the initial states of  $N_1$  and  $N_2$  via  $\epsilon$ -transitions.

The **append**( $M, N$ ) operator

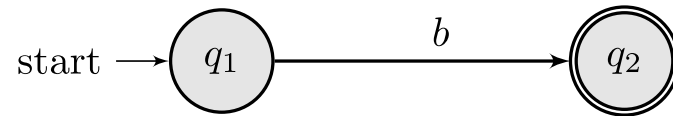
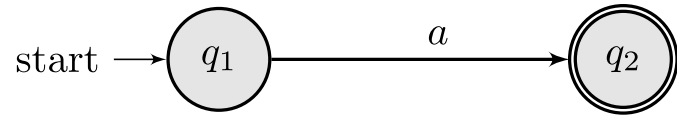
$$L(\text{append}(M, N)) = L(M) \cdot L(N)$$



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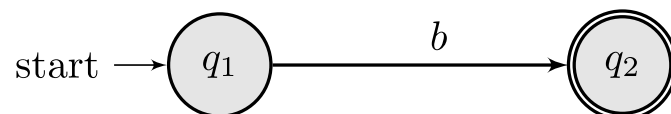
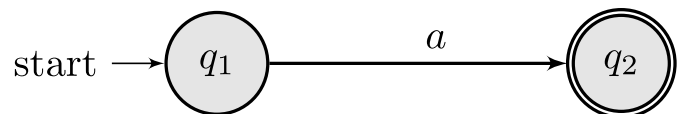
Example 1:  $L(\text{concat}(\text{char}(\mathbf{a}), \text{char}(\mathbf{b}))) = \{\mathbf{ab}\}$



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Example 1:  $L(\text{concat}(\text{char}(\mathbf{a}), \text{char}(\mathbf{b}))) = \{\mathbf{ab}\}$



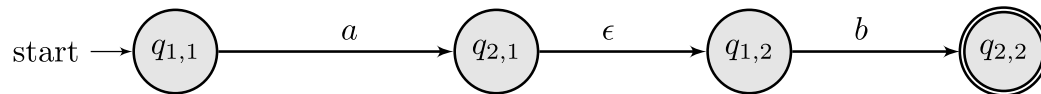
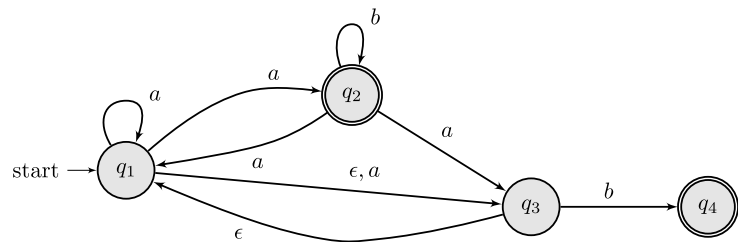
Solution



**What did we do?** Connect the accepted states of  $N_1$  to the initial state of  $N_2$  via  $\epsilon$ -transitions.

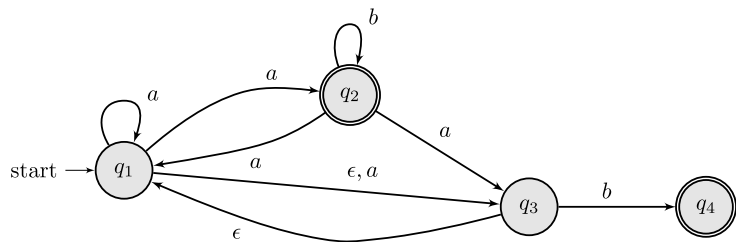
Why not connect directly from  $q_{1,1}$  into  $q_{1,2}$ ? See next slide.

# Concatenation example 2

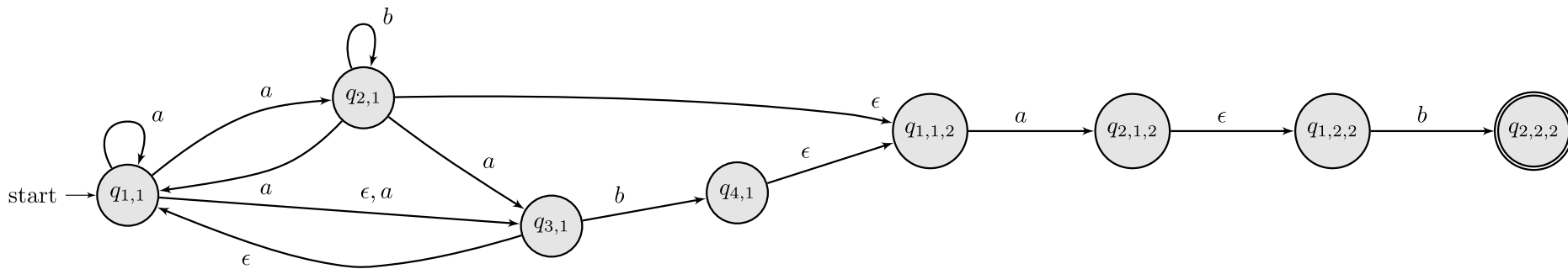


Solution

# Concatenation example 2



## Solution



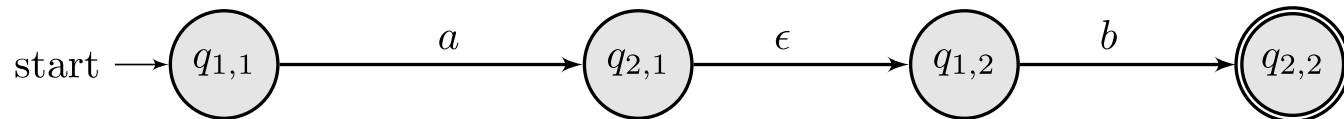
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$$L(\text{star}(N)) = L(N)^*$$

# The $\text{star}(N)$ operator

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Example:  $L(\text{star}(\text{concat}(\text{char}(\mathbf{a}), \text{char}(\mathbf{b})))) = \{w \mid w \text{ is a sequence of } \mathbf{ab} \text{ or empty}\}$

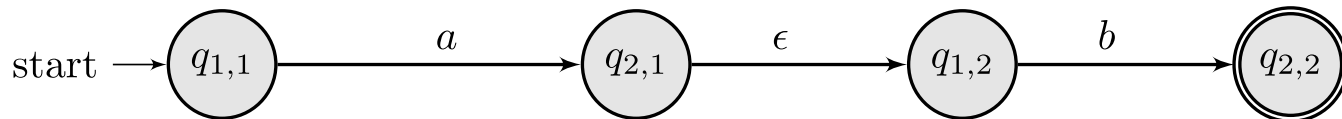


Solution

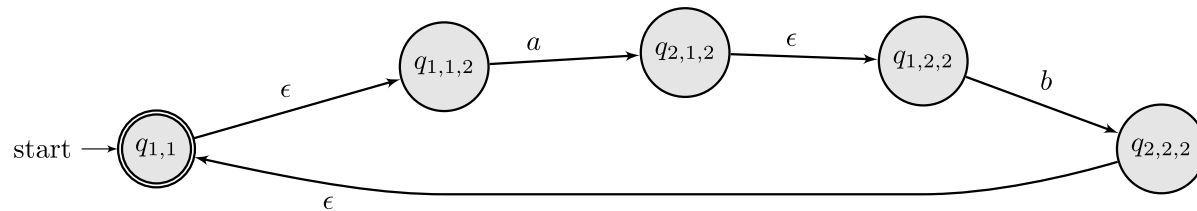
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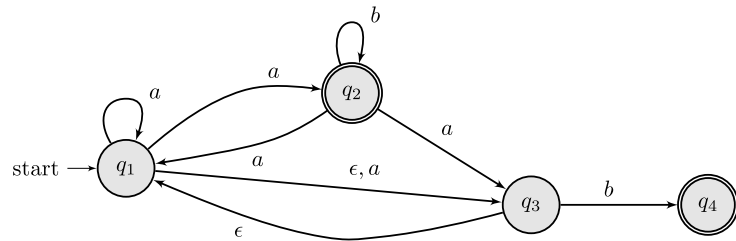
Solution



- create a new state  $q_{1,1}$
- $\epsilon$ -transitions from  $q_{1,1}$  to initial state
- $\epsilon$ -transitions from accepted states to  $q_{1,1}$
- $q_{1,1}$  is the only accepted state

# The $\text{star}(N)$ operator

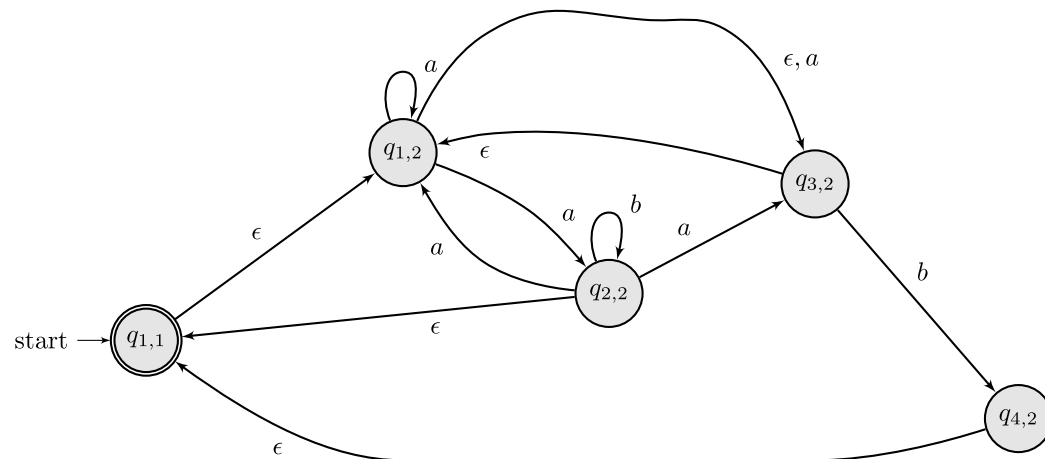
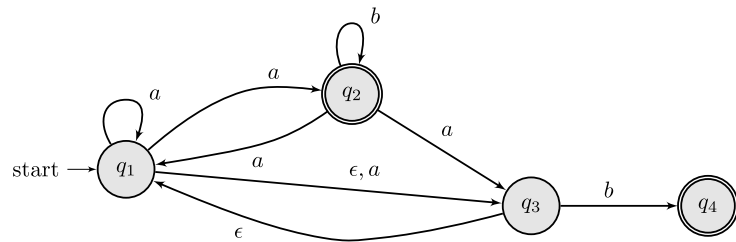
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# The $\text{star}(N)$ operator

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# Completeness

All NFAs have an equivalent Regex

NFA  $\rightarrow$  REGEX

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Why is this result important?

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If we can derive an equivalent regular expression from any NFA, then our regular expression are enough to describe whatever can be described using finite automaton.

# Overview:

## Converting an NFA into a regular expression

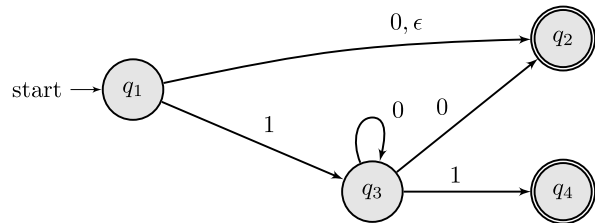
There are many algorithms of converting an NFA into a Regex. Here is the algorithm we find in the book.

1. Wrap the NFA
2. Convert the NFA into a GNFA
3. Reduce the GNFA
4. Extract the Regex

# Step 1: wrap the NFA

Given an NFA  $N$ , add two new states  $q_{start}$  and  $q_{end}$  such that  $q_{start}$  transitions via  $\epsilon$  to the initial state of  $N$ , and every accepted state of  $N$  transitions to  $q_{end}$  via  $\epsilon$ . State  $q_{end}$  becomes the new accepted state.

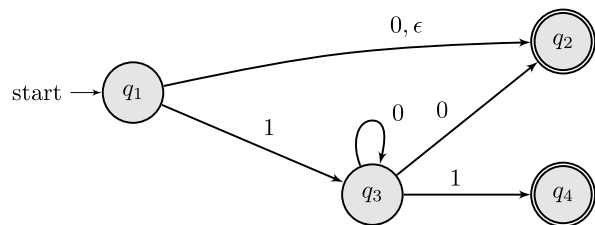
Input



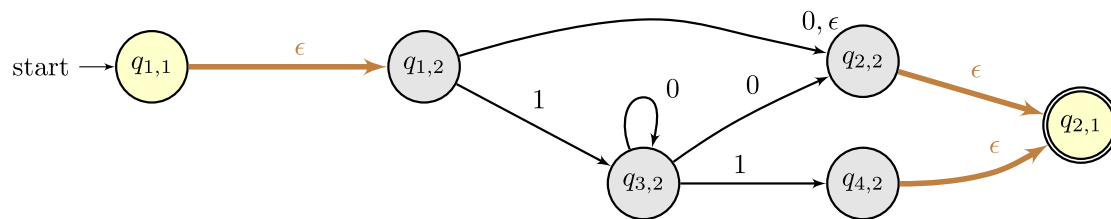
# Step 1: wrap the NFA

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Input



Output

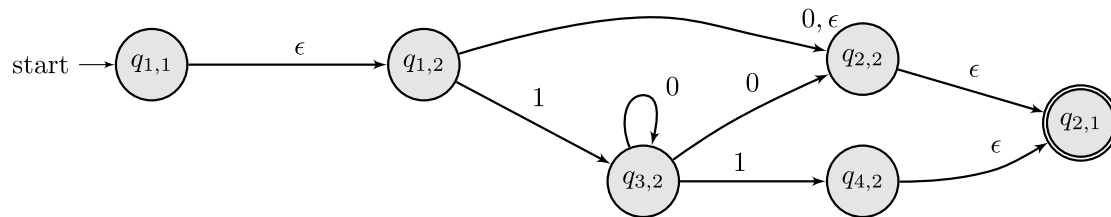


# Step 2: Convert an NFA into a GNFA

A GNFA has regular expressions in the transitions, rather than the inputs.

For every edge with  $a_1, \dots, a_n$  convert into  $a_1 + \dots + a_n$

Input



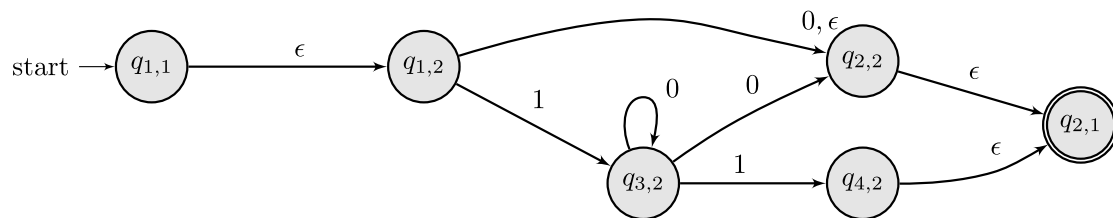


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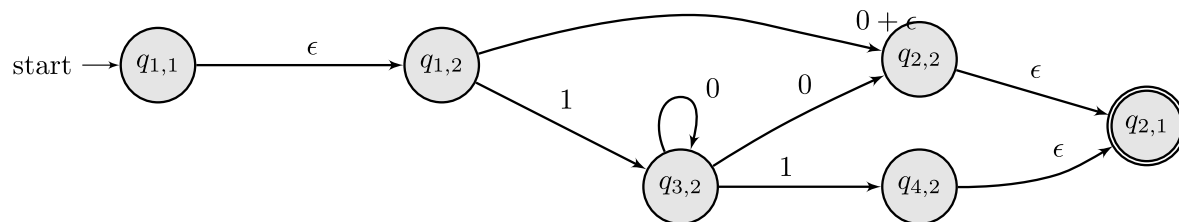
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For every edge with  $a_1, \dots, a_n$  convert into  $a_1 + \dots + a_n$

Input



Output



# Step 3: Reduce the GNFA

While there are more than 2 states:

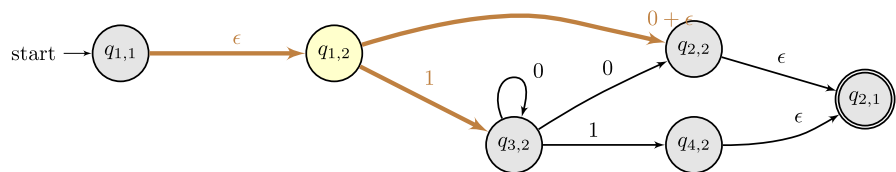
- pick a state and its incoming/outgoing edges, and convert it to transitions

# Step 3.1: compress state $q_{1,2}$

$$\text{compress}(q_{1,1} \xrightarrow{\epsilon} q_{1,2} \xrightarrow{0+\epsilon} q_{2,2}) = q_{1,1} \xrightarrow{\epsilon \cdot (0+\epsilon)} q_{2,2}$$

$$\text{compress}(q_{1,1} \xrightarrow{\epsilon} q_{1,2} \xrightarrow{1} q_{3,2}) = q_{1,1} \xrightarrow{\epsilon \cdot 1} q_{3,2}$$

Input

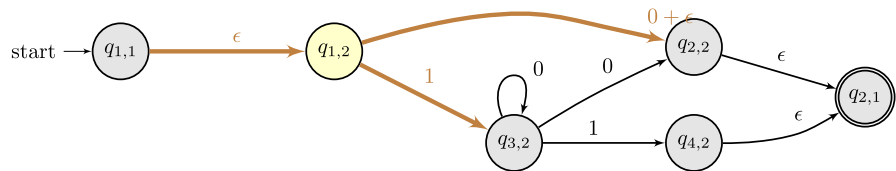


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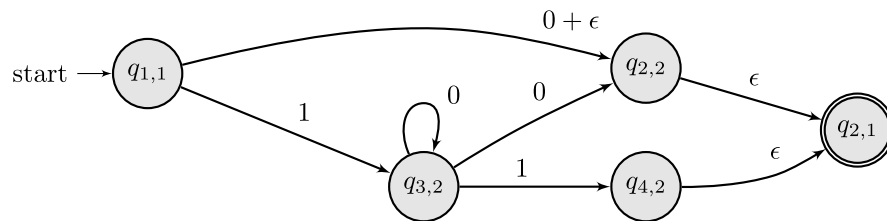
$$\text{compress}(q_{1,1} \xrightarrow{\epsilon} q_{1,2} \xrightarrow{0+\epsilon} q_{2,2}) = q_{1,1} \xrightarrow{\epsilon \cdot (0+\epsilon)} q_{2,2}$$

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Input



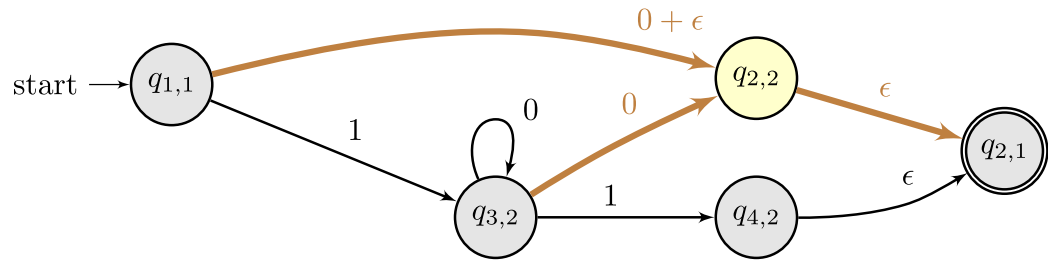
Output



Each state that connects to  $q_{1,2}$  must connect to every state that  $q_{1,2}$  connects to. So  $q_{1,1}$  must connect with  $q_{2,2}$  and  $q_{1,1}$  must connect with  $q_{3,2}$ .

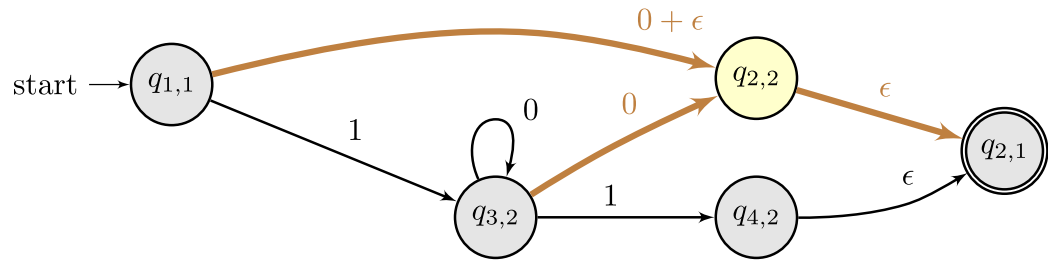
# Step 3.2: compress state $q_{2,2}$

Input

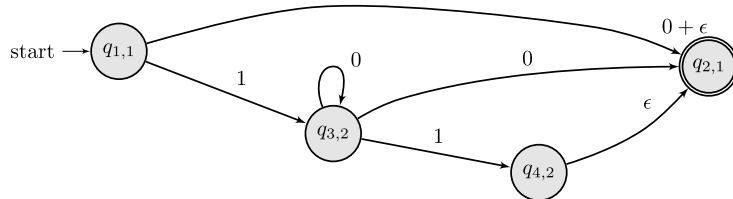


# Step 3.2: compress state $q_{2,2}$

Input



Output



$$\text{compress}(q_{1,1} \xrightarrow{0+\epsilon} q_{2,2} \xrightarrow{\epsilon} q_{2,1}) = q_{1,1} \xrightarrow{(0+\epsilon)\cdot\epsilon} q_{2,1}$$

$$\text{compress}(q_{3,2} \xrightarrow{0} q_{2,2} \xrightarrow{\epsilon} q_{2,1}) = q_{3,2} \xrightarrow{0\cdot\epsilon} q_{2,1}$$

Each state that connects to  $q_{2,2}$  must connect to every state that  $q_{2,2}$  connects to. So  $q_{1,1}$  must connect with  $q_{2,1}$  and  $q_{3,2}$  must connect with  $q_{2,1}$ .

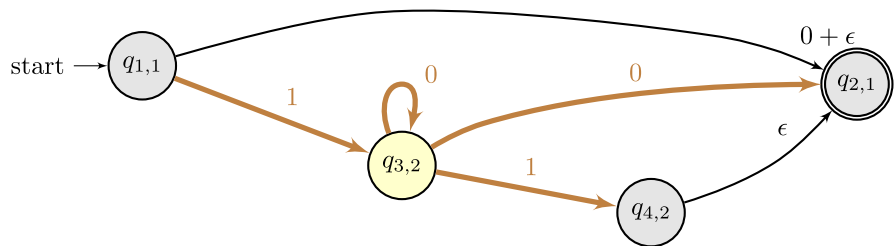
# Step 3.3: compress state $q_{3,2}$

After compressing a state, we must merge the new node with any old node (in red).

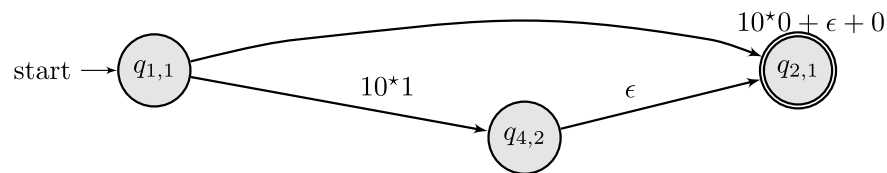
$$\text{compress}(q_{1,1} \xrightarrow{1} q_{3,2} \xrightarrow{0} q_{3,2} \xrightarrow{0} q_{2,1}) + q_{1,1} \xrightarrow{0+\epsilon} q_{2,1} = q_{1,1} \xrightarrow{(10^*0) + (0+\epsilon)} q_{2,2}$$

$$\text{compress}(q_{1,1} \xrightarrow{1} q_{3,2} \xrightarrow{0} q_{3,2} \xrightarrow{1} q_{4,2}) = q_{3,2} \xrightarrow{10^*1} q_{2,1}$$

Input



Output

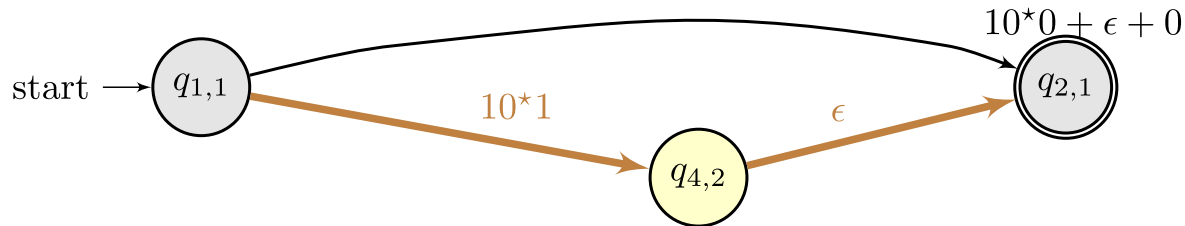


# Step 3.3: compress state $q_{4,2}$

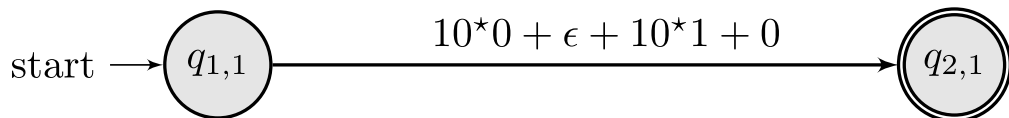
After compressing a state, we must merge the new node with any old node (in red).

$$\text{compress}(q_{1,1} \xrightarrow{10^*1} q_{4,2} \xrightarrow{\epsilon} q_{2,1}) + q_{1,1} \xrightarrow{10^*1+0+\epsilon} q_{2,1} = q_{1,1} \xrightarrow{(10^*1 \cdot \epsilon) + (10^*0+0+\epsilon)} q_{2,2}$$

Input



Output



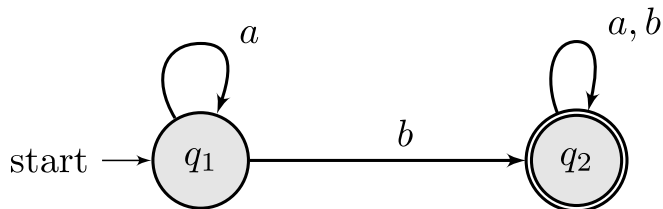
**Result:**  $10^*1 + 10^*0 + 0 + \epsilon$



# Exercise 1.66

## Convert an NFA into a Regex

1. Convert the NFA into an NFA (same)

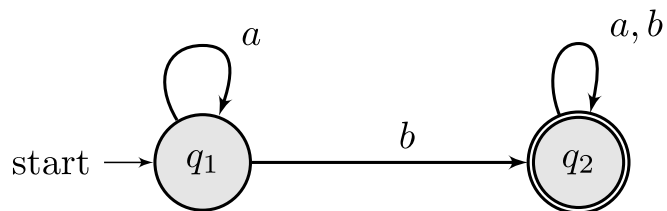


2. Wrap the NFA

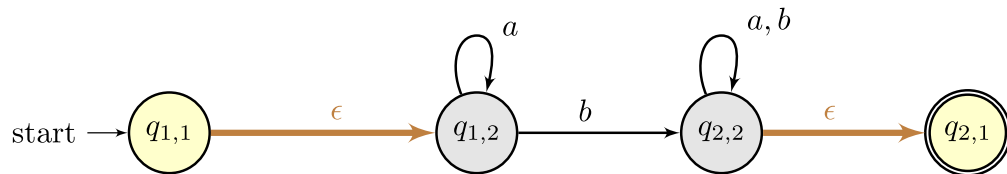
# Exercise 1.66

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2. Wrap the NFA

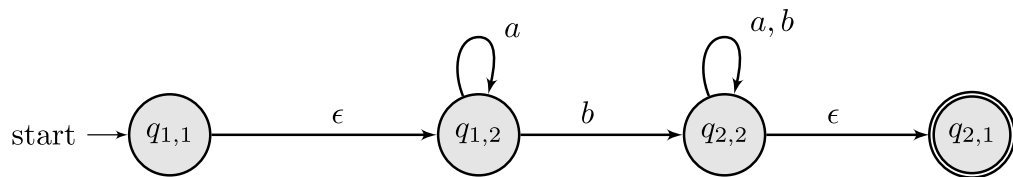


# Exercise 1.66

## Convert an NFA into a Regex

### 3. Convert NFA into GNFA

Before

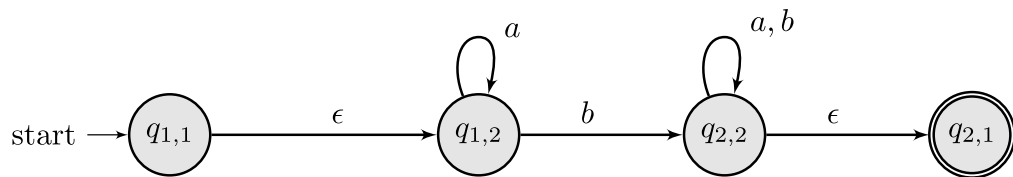


# Exercise 1.66

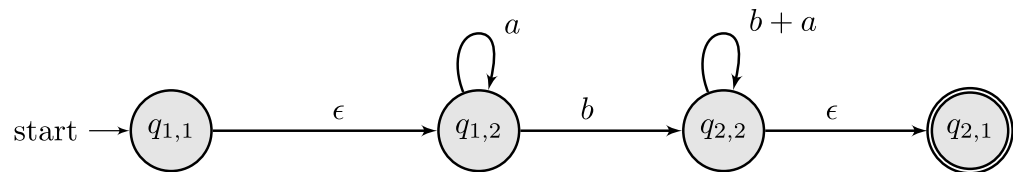
## Convert an NFA into a Regex

### 3. Convert NFA into GNFA

Before



After

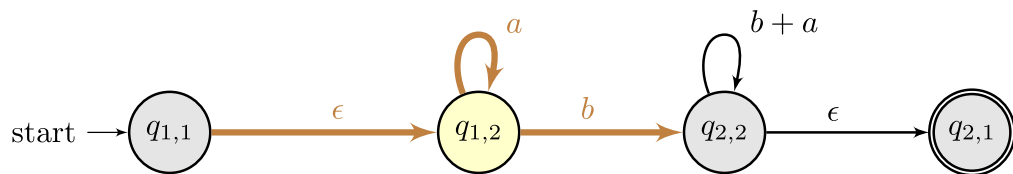


# Exercise 1.66

## Convert an NFA into a Regex

4. Compress state.

Before

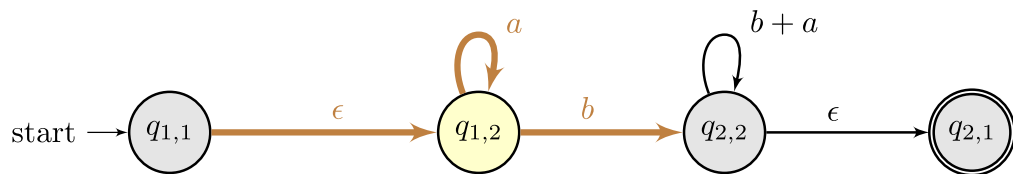


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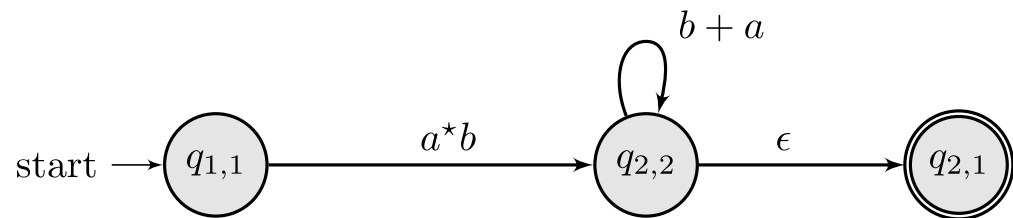
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After

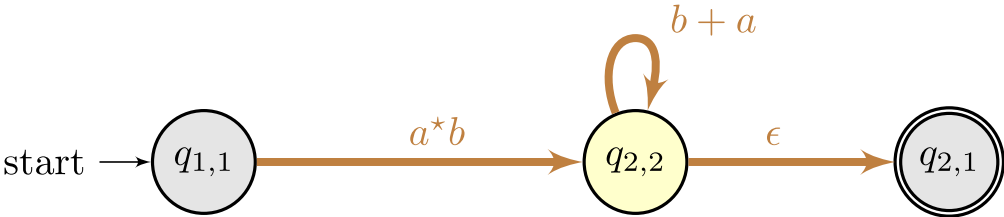


# Exercise 1.66

## Convert an NFA into a Regex

5. Compress state.

Before

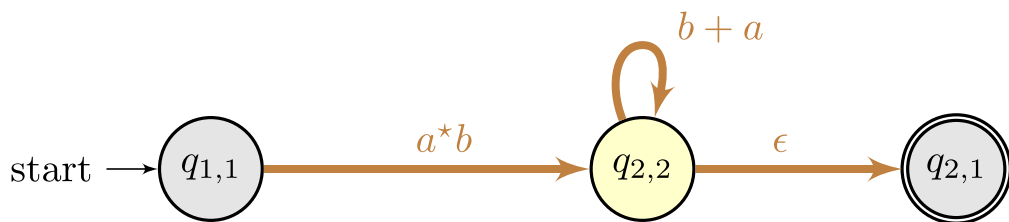


# Exercise 1.66

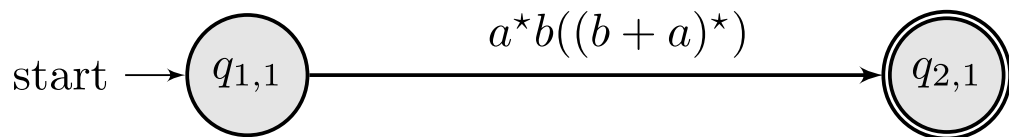
## Convert an NFA into a Regex

5. Compress state.

Before



After

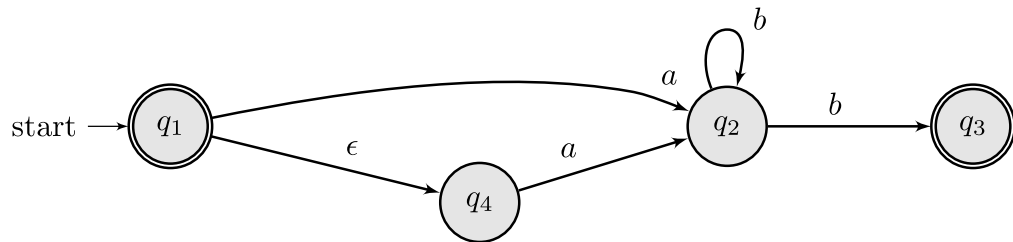




# Exercise 8

## Convert an NFA into a Regex

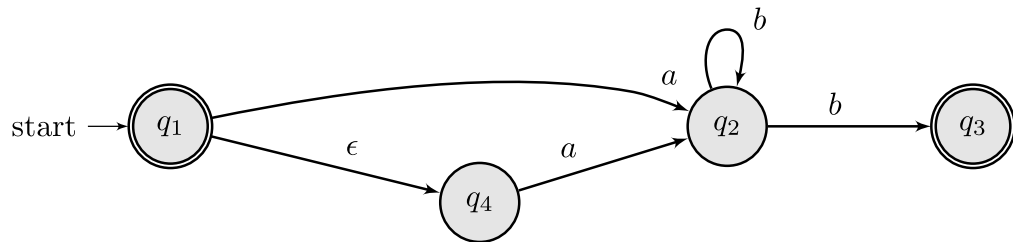
Before



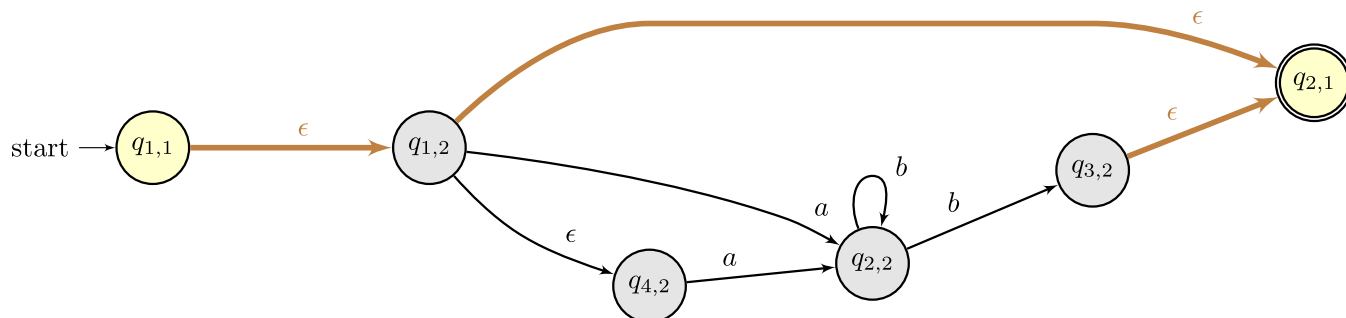
# Exercise 8

## Convert an NFA into a Regex

Before



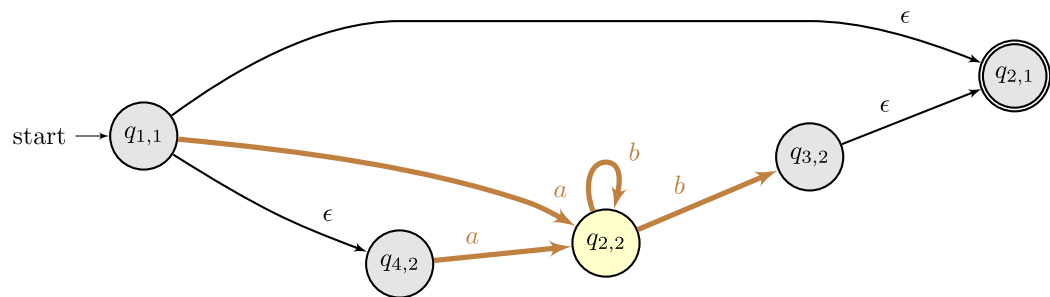
After



# Exercise 8

## Convert an NFA into a Regex

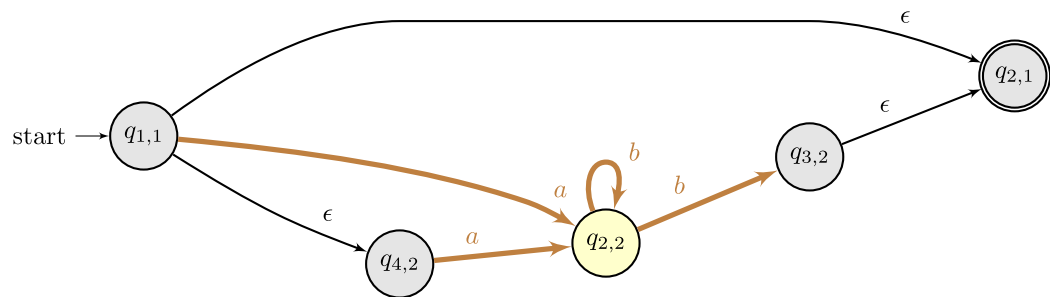
Before



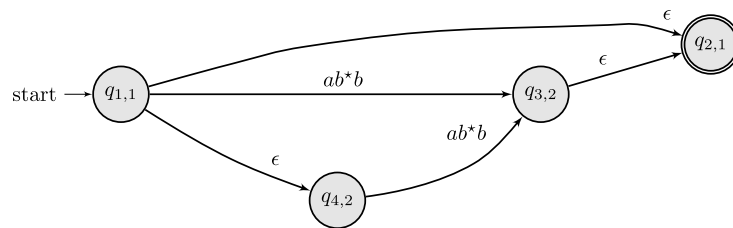
# Exercise 8

## Convert an NFA into a Regex

Before



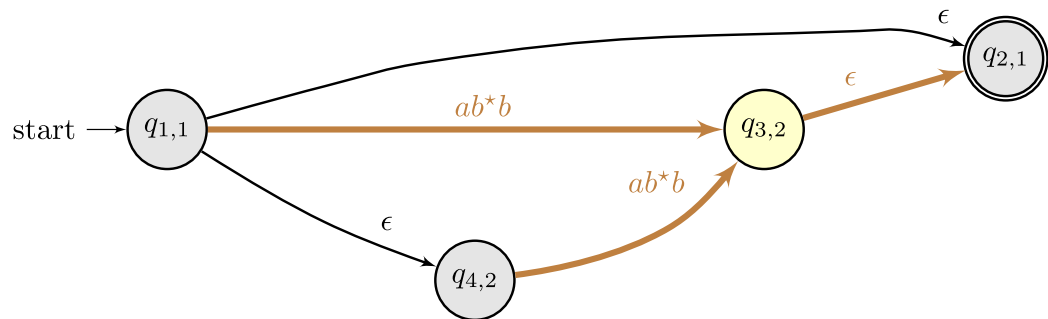
After



# Exercise 8

## Convert an NFA into a Regex

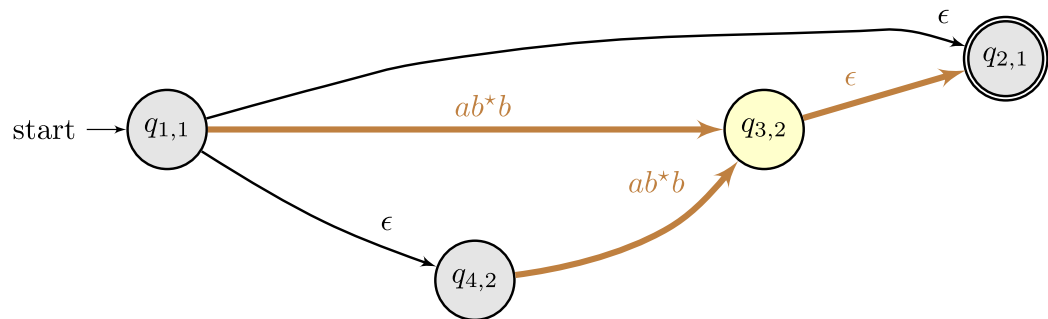
Before



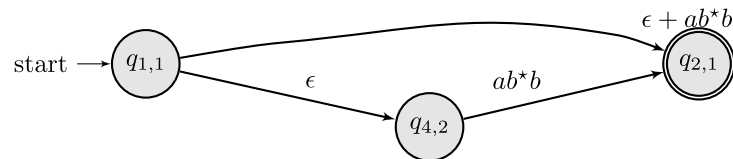
# Exercise 8

## Convert an NFA into a Regex

Before



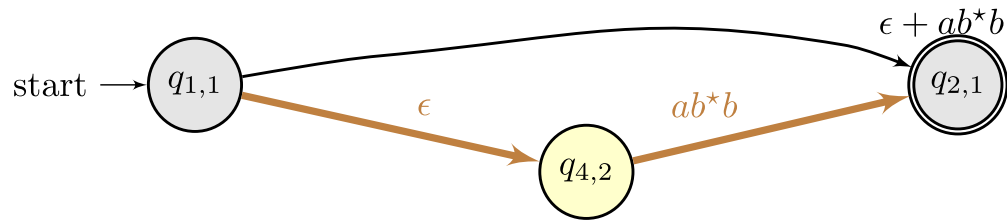
After



# Exercise 8

## Convert an NFA into a Regex

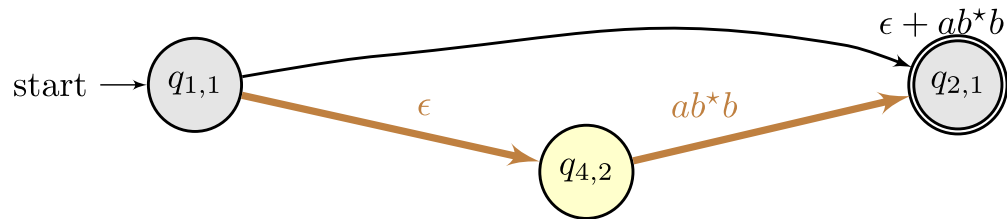
Before



# Exercise 8

## Convert an NFA into a Regex

Before



After

