#### CS420

Introduction to the Theory of Computation

Lecture 19: Turing Machines

Tiago Cogumbreiro

### Today we will learn...



- Recap exercises
- TM configuration and configuration history
- TM acceptance
- Variants of Turing Machines
  - Multi-tape
  - Nondeterministic
- Section 3.1, 3.2, and 3.3

#### Supplementary material

- Professor Harry Porter's video
- Professor Dan Gusfield's video
- Turing Machines, Stanford Encyclopedia of Philosophy



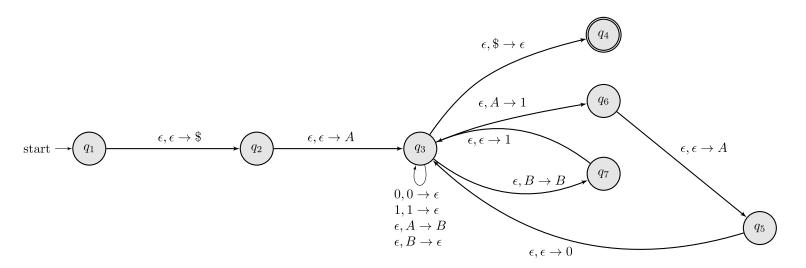
Convert the following grammar into a PDA

$$egin{aligned} A 
ightarrow 0A1 \mid B \ B 
ightarrow 1B \mid \epsilon \end{aligned}$$



#### Convert the following grammar into a PDA

$$egin{aligned} A &
ightarrow 0A1 \mid B \ B &
ightarrow 1B \mid \epsilon \end{aligned}$$





Show that if  $L_1 \cup L_2$  is not context-free, then either  $L_1$  is not context-free or  $L_2$  is not context free.



Show that if  $L_1 \cup L_2$  is not context-free, then either  $L_1$  is not context-free or  $L_2$  is not context free.

- 1. We know that if  $L_1$  is CF and  $L_2$  is CF, then  $L_1 \cup L_2$  is CF.
- 2. Apply the contrapositive to (1) and we conclude our proof.



We know that  $L_2 = \{ w \mid w = a^n b^n c^n \lor |w| \text{ is even} \}$  is not context free.

Show that  $L_3 = \{a^nb^nc^n \mid n \geq 0\}$  is not context-free without using the Pumping Lemma for CF or the Theorem of non-CF from Lecture 11.



We know that  $L_2 = \{ w \mid w = a^n b^n c^n \lor |w| \text{ is even} \}$  is not context free.

Show that  $L_3 = \{a^nb^nc^n \mid n \geq 0\}$  is not context-free without using the Pumping Lemma for CF or the Theorem of non-CF from Lecture 11.

#### Proof.

1. It is easy to see that  $L_2 = L_3 \cup L_4$  where  $L_4 = \{w \mid |w| \text{ is even}\}$ .



We know that  $L_2 = \{ w \mid w = a^n b^n c^n \vee |w| \text{ is even} \}$  is not context free.

Show that  $L_3 = \{a^nb^nc^n \mid n \geq 0\}$  is not context-free without using the Pumping Lemma for CF or the Theorem of non-CF from Lecture 11.

- 1. It is easy to see that  $L_2 = L_3 \cup L_4$  where  $L_4 = \{w \mid |w| \text{ is even}\}.$
- 2. We apply the previous theorem of Exercise 1 and get that either  $L_3$  is not context free, or  $L_4$  is not-context free.



We know that  $L_2 = \{w \mid w = a^n b^n c^n \vee |w| \text{ is even} \}$  is not context free.

Show that  $L_3 = \{a^n b^n c^n \mid n \ge 0\}$  is not context-free without using the Pumping Lemma for CF or the Theorem of non-CF from Lecture 11.

- 1. It is easy to see that  $L_2 = L_3 \cup L_4$  where  $L_4 = \{w \mid |w| \text{ is even}\}.$
- 2. We apply the previous theorem of Exercise 1 and get that either  $L_3$  is not context free, or  $L_4$  is not-context free.
- 3. But we know that  $L_4$  is regular and therefore context-free.



We know that  $L_2 = \{ w \mid w = a^n b^n c^n \vee |w| \text{ is even} \}$  is not context free.

Show that  $L_3 = \{a^n b^n c^n \mid n \ge 0\}$  is not context-free without using the Pumping Lemma for CF or the Theorem of non-CF from Lecture 11.

- 1. It is easy to see that  $L_2 = L_3 \cup L_4$  where  $L_4 = \{w \mid |w| \text{ is even}\}.$
- 2. We apply the previous theorem of Exercise 1 and get that either  $L_3$  is not context free, or  $L_4$  is not-context free.
- 3. But we know that  $L_4$  is regular and therefore context-free.
- 4. Thus,  $L_2$  is not CF.

# Turing Machine:

configuration & configuration history

### Turing Machines



#### Definition 3.3

A Turing machine is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ 

- 1. Q set of states
- 2.  $\Sigma$  input alphabet not containing the blank symbol  $\Box$
- 3.  $\Gamma$  the tape alphabet, where  ${}_{f \sqcup} \in \Gamma$  and  $\Sigma \subseteq \Gamma$
- 4.  $\delta: Q \times \Gamma \to Q \times \Gamma \times \{\mathsf{L},\mathsf{R}\}$  transition function
- 5.  $q_0 \in Q$  is the start state
- 6.  $q_{accept}$  is the accept state
- 7.  $q_{reject}$  is the reject state ( $q_{reject} \neq q_{accept}$ )

#### To ponder..

- What is the minimum number of states?
- Can the input and the tape alphabets be the same?
- Write a Turing machine with the minimum number of states that recognizes Ø
- Write a Turing machine with the minimum number of states that recognizes  $\Sigma^{\star}$

### Configuration

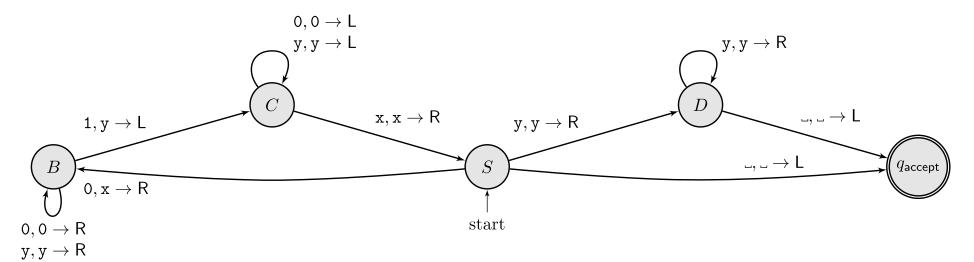


A configuration is a snapshot of a computation. That is, it contains all information necessary to resume (or replay) a computation from any point in time.

#### A configuration consists of

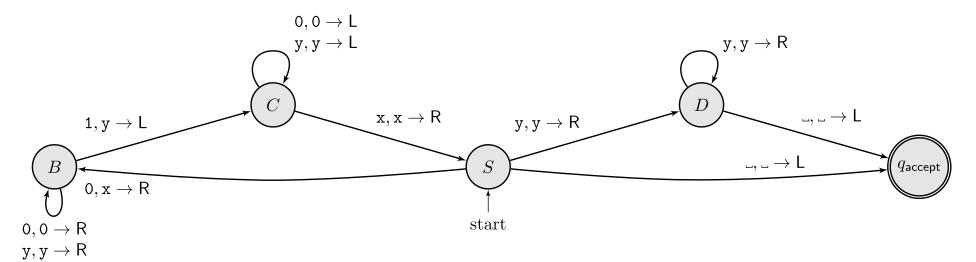
- the tape
- the head of the tape
- the current state





State	Таре
S	0011
B	X <mark>0</mark> 11
B	X0 <mark>1</mark> 1
C	X <mark>O</mark> Y1

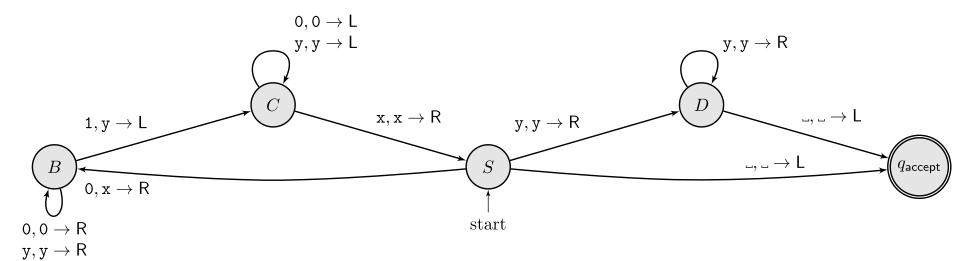




State	Tape
S	0011
B	X <mark>0</mark> 11
B	X0 <mark>1</mark> 1
C	XOY1

State	Таре
C	XOY1
S	XOY1
B	XX <mark>Y</mark> 1
B	XXY <mark>1</mark>



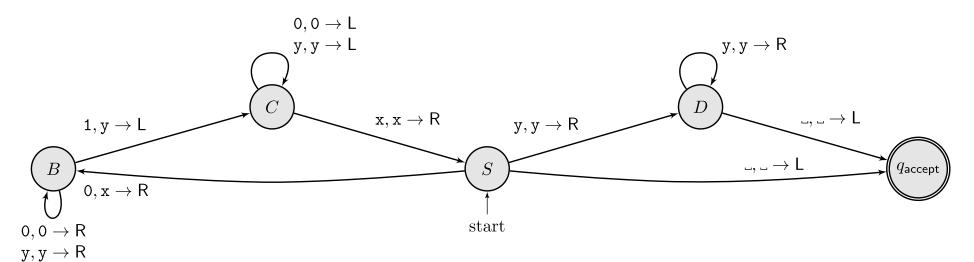


State	Tape
S	0011
B	X <mark>0</mark> 11
B	X0 <mark>1</mark> 1
C	XOY1

State	Таре
C	X0Y1
S	XOY1
B	XX <mark>Y</mark> 1
B	XXY1

State	Таре
C	XXYY
C	XXYY
S	XXYY
D	XXYY





State	Tape
S	0011
B	X <mark>0</mark> 11
B	X0 <mark>1</mark> 1
C	XOY1

State	Tape
C	XOY1
S	XOY1
B	XX <mark>Y</mark> 1
B	XXY <mark>1</mark>

State	Tape
C	XXYY
C	XXYY
S	XXYY
D	XXYY

State	Таре
D	XXYY

Accept!

<u>Simulate</u>





State	Tape	Configuration
S	<u>0</u> 1110	S 01110
B	x <u>1</u> 110	x B 1110
B	ху <u>1</u> 10	xy B 110
B	xyy <u>1</u> 0	xyy B 10
B	хууу <u>0</u>	хууу В 0
B	xyyyx_	хууух В

### Configuration history



The configuration history (sequence of configurations), describes all configurations from the initial state until a current state.

#### Definition

We say that  $C_1$  yields  $C_2$ 

Configuration history	
S 01110	
x B 1110	
xy B 110	
xyy B 10	
хууу В 0	
хууух В	

### Acceptance



#### A Turing machine

- accepts a string if there is a configuration history that reaches the accept state.
- rejects a string if there is a configuration history that reaches the reject state.
- rejects a string if it never reaches an accept or reject states

  This means that for any configuration of any length, there is no accept nor a reject state.

#### The acceptance algorithm

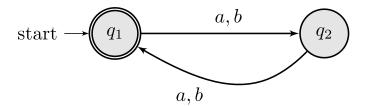
• halts when the machine is in an accept or reject state

This is different than NFAs/PDAs which can enter and leave the accept state.



Give a Turing Machine that recognizes words of an even length.

#### NFA



### TM that recognizes words of an even length



(online)



What language does this TM recognize? (online)

# The Church-Turing thesis

### Alan Turing and the Turing Machine



- No computers at the time (1936)
- Alan Turing was researching into the foundations of mathematics
- Original intent: capture all possible processes which can be carried out in computing a number<sup>†</sup>
- What about non-numerical problems?
- How do Turing machines capture all general and effective procedures which determine whether something is the case or not.

#### Section 3.3

<sup>†:</sup> Devise an algorithm that tests whether a polynomial has an integral root.

### The Church-Turing thesis



- Any algorithm can be represented by an equivalent Turing machine
- A problem is computable if, and only if, there exists a Turing Machine that recognizes it.
- Turing Machines are equivalent to  $\lambda$ -terms

## The Universal Turing Machine

Or, How do we study the limits of computability

### The Universal Turing Machine



- A Turing Machine that is capable of simulating any other Turing Machine
  - Let U be a TM.
  - $\bullet$  Given some TM M and some input w, we can encoded as an input string, which we represent as  $\langle M,w\rangle$

 $U ext{ accepts } \langle M, w \rangle ext{ iff } M ext{ accepts } w$ 

Note that the Universal TM is a regular TM. This computability model is expressive enough to simulate itself.

### Alan Turing's impact on modern computers



- Modern computers: von Neumann's EDVAC design
- Fundamental idea of the EDVAC design: stored-programs
   Manipulation of programs as data
- Universal Turing Machines pioneer the idea of stored programs

TM are used to reflect on the limits and potentials of general-purpose computers by engineers, mathematicians and logicians (Module 3)

A single machine simulates all possible machine designs!

Without this idea, computers would have limited scope.

# Multi-tape Turing Machine

### The TM tape only grows to the right



- An important thing to note is that TMs have a tape that grows only to the right
- In turingmachine.io, the tape actually grows both ways

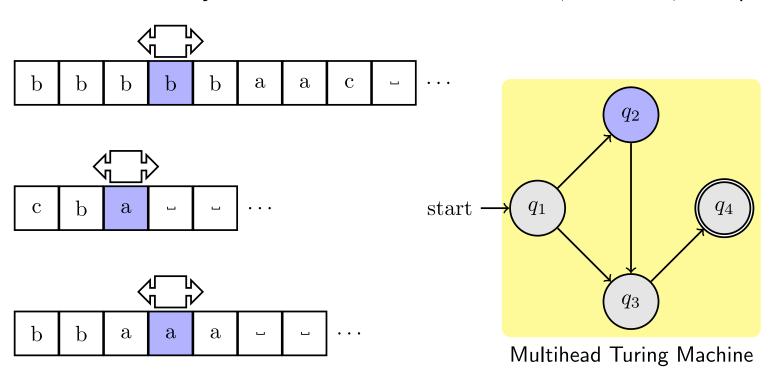
Are Turing machines that grow both sides more expressive?

Generalizing, are TM with multi-tapes more expressive?

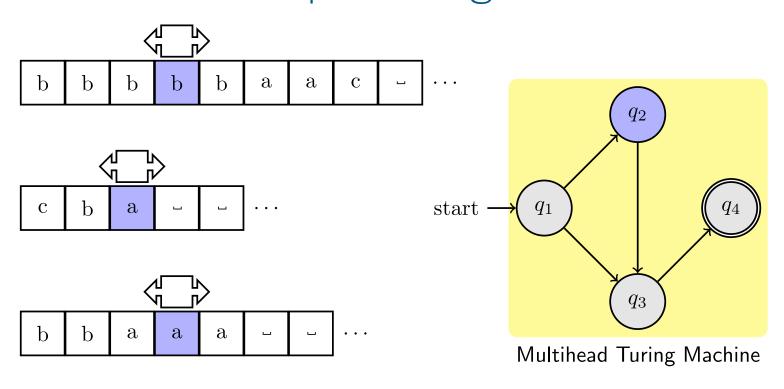
### Multi-tape Turing Machine



- A variation of the Turing Machine with multiple tapes
- The control may issue each head to move: forward, backward, or skip



# Are Turing Machines less expressive than Multitape Turing Machines?



#### Turing Machines $\iff$ Multitape Turing Machines



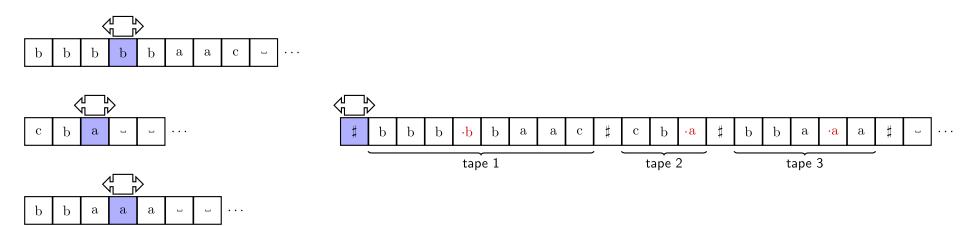
- (⇐) Multitape Turing Machines trivially recognize the same language as Turing Machine (let the number of tapes be 1)
- $(\Rightarrow)$  How can a single tape encode multitape?

## Simulating a multitape



#### Tape encoding

- Concatenate the three tapes together
- Delimit each tape with a character that is not in the alphabet #
- "Tag" the character to encode each tape head (virtual heads), eg  $\cdot a$
- The tape head always sits in the beginning of the tape



## Simulating a multitape



#### Operation

- To move the i-th head, read the tape from the beginning until you read  $\sharp$  a total of i times and then seek until you find the marked character
- If the virtual head i hits the end of the tape  $\sharp$ , then shift the rest of the tape to the right and insert a blank character  $\Box$

## Nondeterministic Turing Machines

## Nondeterministic Turing Machines (NTM)



A machine can follow more than one transitions for the same input:

$$\delta \colon Q \times \Gamma \to \mathcal{P}(Q \times \Gamma \times \{\mathsf{L},\mathsf{R}\})$$

#### Consequence

Deterministic can only have one outgoing edge *per* character read, a nondeterministic machine can have multiple edges

## Configurations in a TM



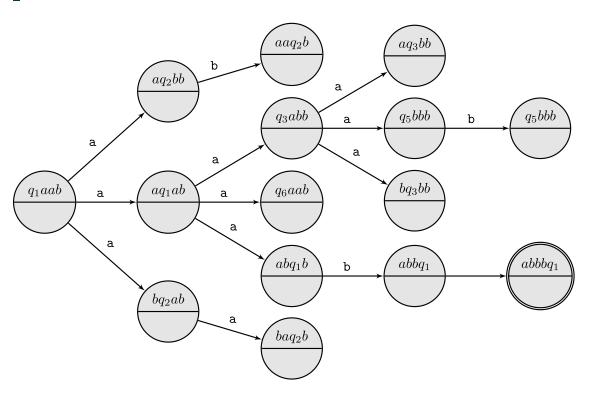
In a deterministic TM, a configuration history is *linear* 

```
abc q1 aac \rightarrow abcx q2 ac \rightarrow abcxa q2 c \rightarrow abcx q2 ay
```

## Configurations in a NTM



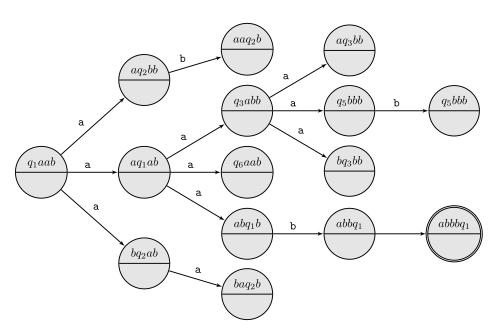
In a nondeterministic TM, a configuration history is a **tree**!



## Nondeterministic Turing Machines



- **Accept:** when **any** branch reaches  $q_{start}$
- **Reject:** when **all** branches reach  $q_{reject}$
- To find a single acceptance state we need to search the computation tree



# Are Turing Machines less expressive than Nondeterministic Turing Machines?

## $TM \iff NTM$



- ullet Given an NTM, say N we show how to construct a TM, say D
- ullet If N accepts on any branch, then D halts and accepts
- ullet If N rejects on every branch, then D halts and rejects

#### Intuition

Simulate all branches of the computation; search for any node with an accept state.

#### Attention!

**Question:** If we are searching a search tree, and there may exist infinite branches (due to loops), how should we search the tree: DFS or BFS?

### $TM \iff NTM$



- ullet Given an NTM, say N we show how to construct a TM, say D
- ullet If N accepts on any branch, then D halts and accepts
- ullet If N rejects on every branch, then D halts and rejects

#### Intuition

Simulate all branches of the computation; search for any node with an accept state.

#### Attention!

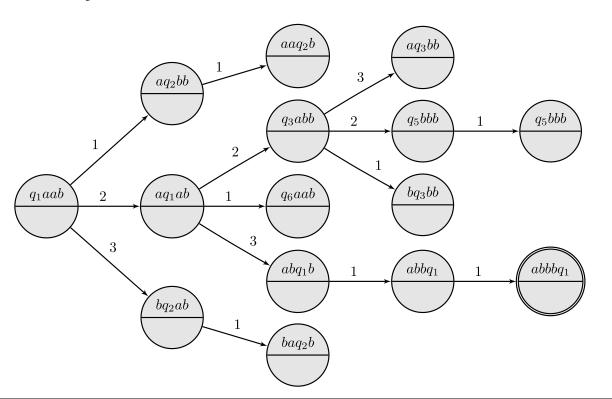
**Question:** If we are searching a search tree, and there may exist infinite branches (due to loops), how should we search the tree: DFS or BFS?

**Bread-First Search** will ensure our search is not caught in a never-ending branch.

## Addressing configuration history



 We can use a sequence of numbers to uniquely identify each node of the configuration history



#### Unique paths

- 11
- 223
- 2221
- 221
- 21
- 2311
- 31

## Using a TM to simulate a NTM



#### Use 3 tapes

- 1. **Initial input:** One tape for the input
- 2. **Simulation tape:** Where we will be executing an address
- 3. Address tape: An ever growing number that uniquely identifies where we are in the tree

#### How many choices at each step?

- 1. Copy tape 1 to tape 2
- 2. Simulate TM with address from the address tape; if it reaches an accepted state, then ACCEPT, otherwise continue
- 3. Increment address (next BFS-wise) and go to 1