

# CS420

## Introduction to the Theory of Computation

### Lecture 13: Regular expressions & NFAs

Tiago Cogumbreiro

# Today we will learn...

- Converting REGEX to NFA
- Converting NFA to REGEX

# Soundness

All Regexes have an equivalent NFA

REGEX  $\rightarrow$  NFA

Thompson's construction

# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) =$

# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) = \text{char}(a)$
- $\text{NFA}(\underline{\epsilon}) =$

# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) = \text{char}(a)$
- $\text{NFA}(\underline{\epsilon}) = \text{nil}$
- $\text{NFA}(\underline{\emptyset}) =$

# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) = \text{char}(a)$
- $\text{NFA}(\underline{\epsilon}) = \text{nil}$
- $\text{NFA}(\underline{\emptyset}) = \text{void}$
- $\text{NFA}(\underline{R_1 \cup R_2}) =$

# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) = \text{char}(a)$
- $\text{NFA}(\underline{\epsilon}) = \text{nil}$
- $\text{NFA}(\underline{\emptyset}) = \text{void}$
- $\text{NFA}(\underline{R_1 \cup R_2}) = \text{union}(\text{NFA}(R_1), \text{NFA}(R_2))$
- $\text{NFA}(\underline{R_1 \cdot R_2}) =$



# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) = \text{char}(a)$
- $\text{NFA}(\underline{\epsilon}) = \text{nil}$
- $\text{NFA}(\underline{\emptyset}) = \text{void}$
- $\text{NFA}(\underline{R_1 \cup R_2}) = \text{union}(\text{NFA}(\underline{R_1}), \text{NFA}(\underline{R_2}))$
- $\text{NFA}(\underline{R_1 \cdot R_2}) = \text{append}(\text{NFA}(\underline{R_1}), \text{NFA}(\underline{R_2}))$
- $\text{NFA}(\underline{R^*}) =$

# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) = \text{char}(a)$
- $\text{NFA}(\underline{\epsilon}) = \text{nil}$
- $\text{NFA}(\underline{\emptyset}) = \text{void}$
- $\text{NFA}(\underline{R_1 \cup R_2}) = \text{union}(\text{NFA}(\underline{R_1}), \text{NFA}(\underline{R_2}))$
- $\text{NFA}(\underline{R_1 \cdot R_2}) = \text{append}(\text{NFA}(\underline{R_1}), \text{NFA}(\underline{R_2}))$
- $\text{NFA}(\underline{R^*}) = \text{star}(\text{NFA}(\underline{R}))$

# All Regexes have an equivalent NFA

## Lemma 1.55 (ITC)

If  $L(R) = L_1$ , then  $L(\text{NFA}(R)) = L_1$ .

Given an alphabet  $\Sigma$

- $\text{NFA}(\underline{a}) = \text{char}(a)$
- $\text{NFA}(\underline{\epsilon}) = \text{nil}$
- $\text{NFA}(\underline{\emptyset}) = \text{void}$
- $\text{NFA}(\underline{R_1 \cup R_2}) = \text{union}(\text{NFA}(\underline{R_1}), \text{NFA}(\underline{R_2}))$
- $\text{NFA}(\underline{R_1 \cdot R_2}) = \text{append}(\text{NFA}(\underline{R_1}), \text{NFA}(\underline{R_2}))$
- $\text{NFA}(\underline{R^*}) = \text{star}(\text{NFA}(\underline{R}))$

(Proof follows by induction on the structure of  $R$ .)

# The **void** NFA

$$L(\text{void}) = \emptyset$$

# The **void** NFA

$$L(\mathbf{void}) = \emptyset$$

# The **void** NFA

$$L(\mathbf{void}) = \emptyset$$



# The **nil** operator

$$L(\mathbf{nil}) = \{\epsilon\}$$

The **nil** operator

$$L(\mathbf{nil}) = \{\epsilon\}$$



# The **nil** operator

$$L(\mathbf{nil}) = \{\epsilon\}$$



The  $\text{char}(c)$  operator

$$L(\text{char}(c)) = \{[c]\}$$

The  $\text{char}(a)$  operator

$$L(\text{char}(a)) = \{[a]\}$$

The `char(a)` operator

$$L(\text{char}(a)) = \{[a]\}$$



The **union**( $M, N$ ) automaton

$$L(\text{union}(M, N)) = L(M) \cup L(N)$$

The **union**( $M, N$ ) automaton

$$L(\text{union}(M, N)) = L(M) \cup L(N)$$

$N_1$



$N_2$

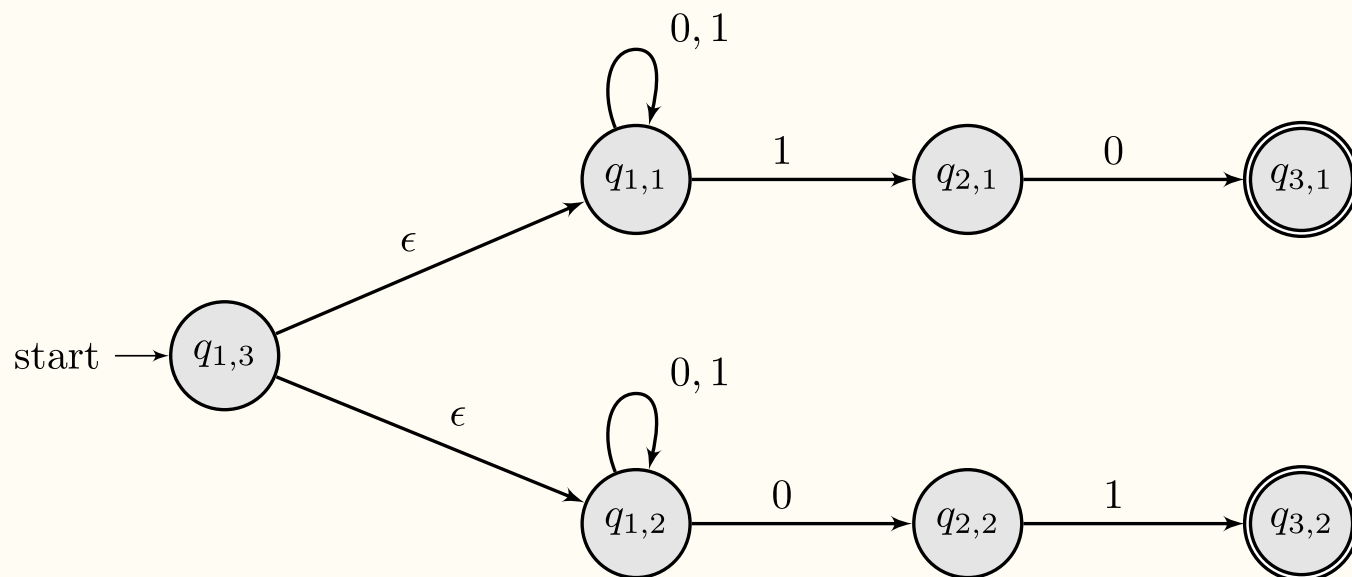


$$\text{union}(N_1, N_2) = ?$$

# The $\text{union}(M, N)$ operator

$$L(\text{union}(M, N)) = L(M) \cup L(N)$$

Example  $\text{union}(N_1, N_2)$



- Add a new initial state
- Connect new initial state to the initial states of  $N_1$  and  $N_2$  via  $\epsilon$ -transitions.

The **append**( $M, N$ ) operator

$$L(\text{append}(M, N)) = L(M) \cdot L(N)$$



The **append**( $M, N$ ) operator

$$L(\text{append}(M, N)) = L(M) \cdot L(N)$$

Example 1:  $L(\text{concat}(\text{char}(\mathbf{a}), \text{char}(\mathbf{b}))) = \{\mathbf{ab}\}$



The  $\text{append}(M, N)$  operator

$$L(\text{append}(M, N)) = L(M) \cdot L(N)$$

Example 1:  $L(\text{concat}(\text{char}(\mathbf{a}), \text{char}(\mathbf{b}))) = \{\mathbf{ab}\}$



Solution



**What did we do?** Connect the accepted states of  $N_1$  to the initial state of  $N_2$  via  $\epsilon$ -transitions.

Why not connect directly from  $q_{1,1}$  into  $q_{1,2}$ ? See next slide.

# Concatenation example 2



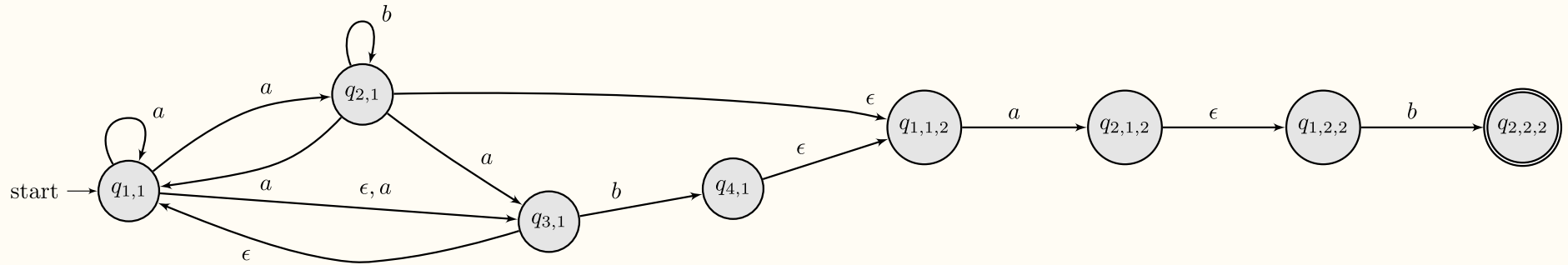
Solution



# Concatenation example 2



Solution



The **star**( $N$ ) operator

$$L(\text{star}(N)) = L(N)^*$$

# The $\text{star}(N)$ operator

$$L(\text{star}(N)) = L(N)^*$$

Example:  $L(\text{star}(\text{concat}(\text{char}(\mathbf{a}), \text{char}(\mathbf{b})))) = \{w \mid w \text{ is a sequence of } \mathbf{ab} \text{ or empty}\}$



Solution

# The $\text{star}(N)$ operator

$$L(\text{star}(N)) = L(N)^*$$

Example:  $L(\text{star}(\text{concat}(\text{char}(\mathbf{a}), \text{char}(\mathbf{b})))) = \{w \mid w \text{ is a sequence of } \mathbf{ab} \text{ or empty}\}$



## Solution



- create a new state  $q_{1,1}$
- $\epsilon$ -transitions from  $q_{1,1}$  to initial state
- $\epsilon$ -transitions from accepted states to  $q_{1,1}$
- $q_{1,1}$  is the only accepted state

The  $\text{star}(N)$  operator

$$L(\text{star}(N)) = L(N)^*$$





The  $\text{star}(N)$  operator

$$L(\text{star}(N)) = L(N)^*$$



# Completeness

All NFAs have an equivalent Regex

NFA  $\rightarrow$  REGEX

# Completeness

All NFAs have an equivalent Regex

Why is this result important?

# Completeness

All NFAs have an equivalent Regex

Why is this result important?

If we can derive an equivalent regular expression from any NFA, then our regular expressions are enough to describe whatever can be described using finite automata.

# Overview:

## Converting an NFA into a regular expression

There are many algorithms of converting an NFA into a Regex. Here is the algorithm we find in the book.

1. Wrap the NFA
2. Convert the NFA into a GNFA
3. Reduce the GNFA
4. Extract the Regex

# Step 1: wrap the NFA

Given an NFA  $N$ , add two new states  $q_{start}$  and  $q_{end}$  such that  $q_{start}$  transitions via  $\epsilon$  to the initial state of  $N$ , and every accepted state of  $N$  transitions to  $q_{end}$  via  $\epsilon$ . State  $q_{end}$  becomes the new accepted state.

Input



# Step 1: wrap the NFA

Given an NFA  $N$ , add two new states  $q_{start}$  and  $q_{end}$  such that  $q_{start}$  transitions via  $\epsilon$  to the initial state of  $N$ , and every accepted state of  $N$  transitions to  $q_{end}$  via  $\epsilon$ . State  $q_{end}$  becomes the new accepted state.

Input



Output



# Step 2: Convert an NFA into a GNFA

A GNFA has regular expressions in the transitions, rather than the inputs.

For every edge with  $a_1, \dots, a_n$  convert into  $a_1 + \dots + a_n$

Input





# Step 2: Convert an NFA into a GNFA

A GNFA has regular expressions in the transitions, rather than the inputs.

For every edge with  $a_1, \dots, a_n$  convert into  $a_1 + \dots + a_n$

Input



Output



# Step 3: Reduce the GNFA

While there are more than 2 states:

- pick a state and its incoming/outgoing edges, and convert it to transitions

## Step 3.1: compress state $q_{1,2}$

$$\begin{aligned}\text{compress}(q_{1,1} \xrightarrow{\epsilon} q_{1,2} \xrightarrow{0+\epsilon} q_{2,2}) &= q_{1,1} \xrightarrow{\epsilon \cdot (0+\epsilon)} q_{2,2} \\ \text{compress}(q_{1,1} \xrightarrow{\epsilon} q_{1,2} \xrightarrow{1} q_{3,2}) &= q_{1,1} \xrightarrow{\epsilon \cdot 1} q_{3,2}\end{aligned}$$

Input



## Step 3.1: compress state $q_{1,2}$

$$\begin{aligned}\text{compress}(q_{1,1} \xrightarrow{\epsilon} q_{1,2} \xrightarrow{0+\epsilon} q_{2,2}) &= q_{1,1} \xrightarrow{\epsilon \cdot (0+\epsilon)} q_{2,2} \\ \text{compress}(q_{1,1} \xrightarrow{\epsilon} q_{1,2} \xrightarrow{1} q_{3,2}) &= q_{1,1} \xrightarrow{\epsilon \cdot 1} q_{3,2}\end{aligned}$$

Input



Output



Each state that connects to  $q_{1,2}$  must connect to every state that  $q_{1,2}$  connects to. So  $q_{1,1}$  must connect with  $q_{2,2}$  and  $q_{1,1}$  must connect with  $q_{3,2}$ .

# Step 3.2: compress state $q_{2,2}$

Input



## Step 3.2: compress state $q_{2,2}$

Input



Output



$$\begin{aligned}\text{compress}(q_{1,1} \xrightarrow{0+\epsilon} q_{2,2} \xrightarrow{\epsilon} q_{2,1}) &= q_{1,1} \xrightarrow{(0+\epsilon)\cdot\epsilon} q_{2,2} \\ \text{compress}(q_{3,2} \xrightarrow{0} q_{2,2} \xrightarrow{\epsilon} q_{2,1}) &= q_{3,2} \xrightarrow{0\cdot\epsilon} q_{2,1}\end{aligned}$$

Each state that connects to  $q_{2,2}$  must connect to every state that  $q_{2,2}$  connects to. So  $q_{1,1}$  must connect with  $q_{2,1}$  and  $q_{3,2}$  must connect with  $q_{2,1}$ .

## Step 3.3: compress state $q_{3,2}$

After compressing a state, we must merge the new node with any old node (in red).

$$\begin{aligned} \text{compress}(q_{1,1} \xrightarrow{1} q_{3,2} \xrightarrow{0} q_{3,2} \xrightarrow{0} q_{2,1}) + q_{1,1} \xrightarrow{0+\epsilon} q_{2,1} &= q_{1,1} \xrightarrow{(10^*0) + (0+\epsilon)} q_{2,2} \\ \text{compress}(q_{1,1} \xrightarrow{1} q_{3,2} \xrightarrow{0} q_{3,2} \xrightarrow{1} q_{4,2}) &= q_{3,2} \xrightarrow{10^*1} q_{2,1} \end{aligned}$$

Input



Output



## Step 3.3: compress state $q_{4,2}$

After compressing a state, we must merge the new node with any old node (in red).

$$\text{compress}(q_{1,1} \xrightarrow{10^*1} q_{4,2} \xrightarrow{\epsilon} q_{2,1}) + q_{1,1} \xrightarrow{10^*1+0+\epsilon} q_{2,1} = q_{1,1} \xrightarrow{(10^*1 \cdot \epsilon) + (10^*0+0+\epsilon)} q_{2,2}$$

Input



Output

 **Result:**  $10^*1 + 10^*0 + 0 + \epsilon$



# Exercise 1.66

## Convert an NFA into a Regex

1. Convert the NFA into an NFA (same)



2. Wrap the NFA

# Exercise 1.66

## Convert an NFA into a Regex

1. Convert the NFA into an NFA (same)



2. Wrap the NFA



# Exercise 1.66

## Convert an NFA into a Regex

### 3. Convert NFA into GNFA

Before



# Exercise 1.66

## Convert an NFA into a Regex

### 3. Convert NFA into GNFA

Before



After



# Exercise 1.66

## Convert an NFA into a Regex

4. Compress state.

Before



# Exercise 1.66

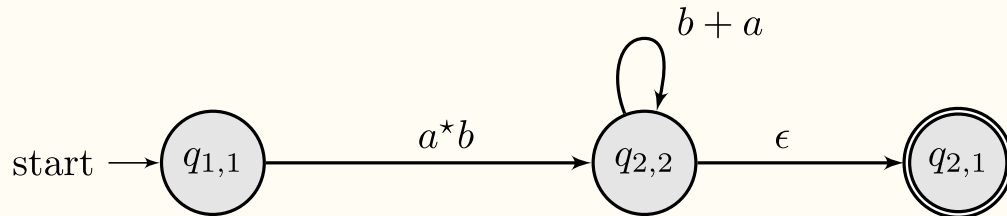
## Convert an NFA into a Regex

4. Compress state.

Before



After

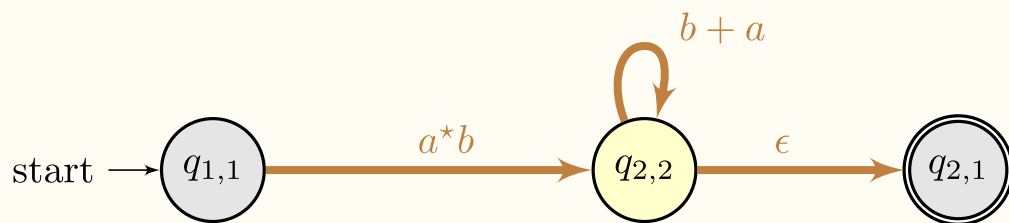


# Exercise 1.66

## Convert an NFA into a Regex

5. Compress state.

Before

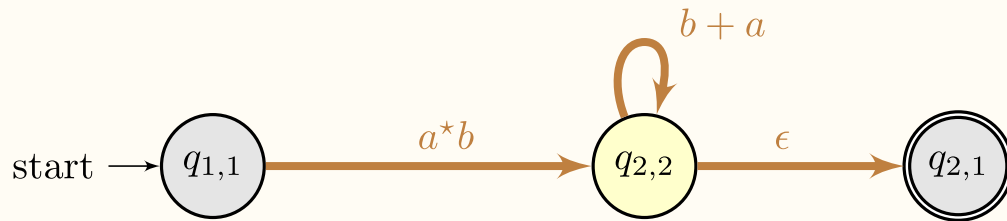


# Exercise 1.66

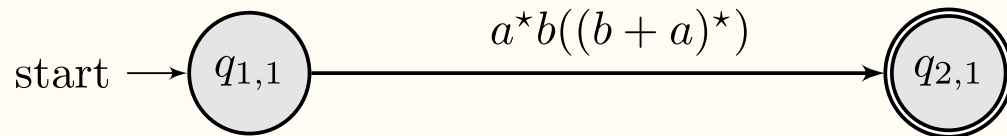
## Convert an NFA into a Regex

5. Compress state.

Before



After





# Exercise 8

Convert an NFA into a Regex

Before



# Exercise 8

## Convert an NFA into a Regex

Before



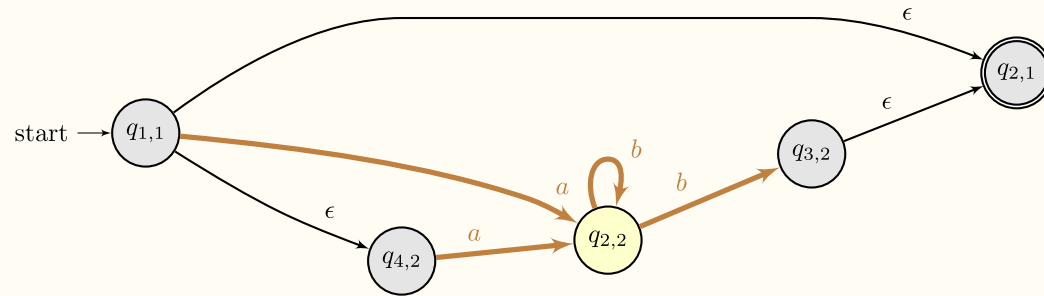
After



# Exercise 8

## Convert an NFA into a Regex

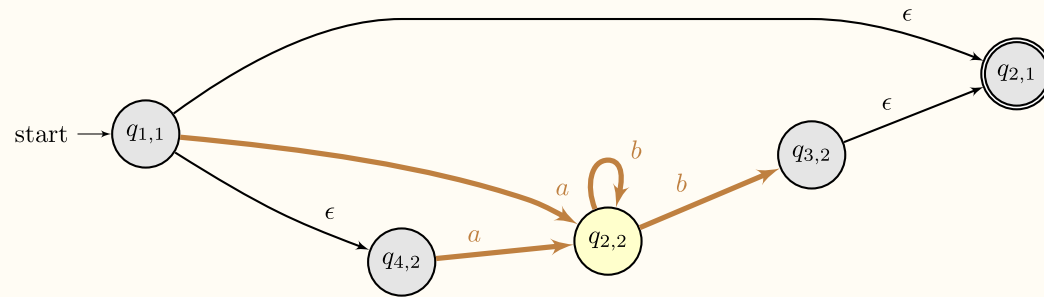
Before



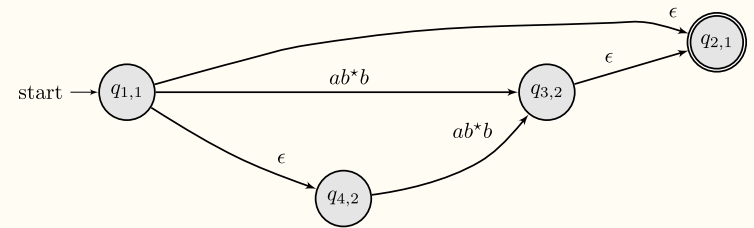
# Exercise 8

## Convert an NFA into a Regex

Before



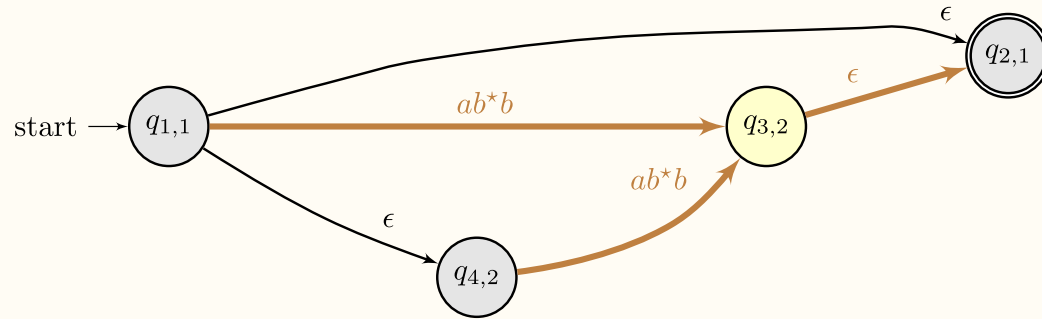
After



# Exercise 8

## Convert an NFA into a Regex

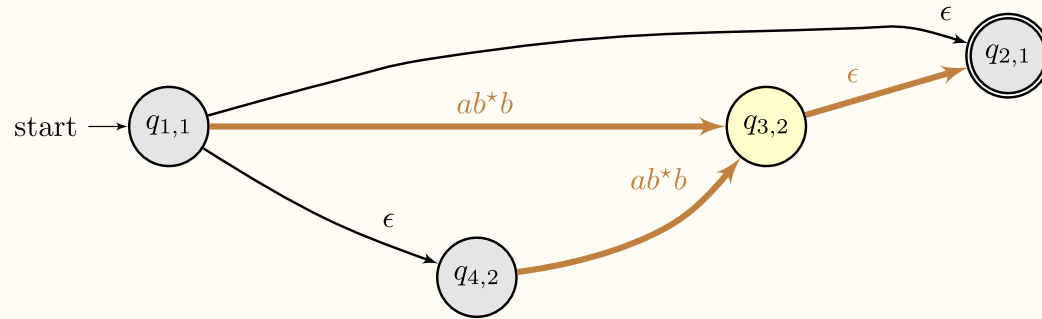
Before



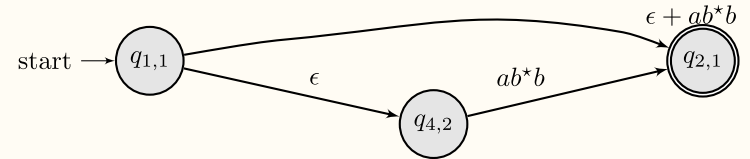
# Exercise 8

## Convert an NFA into a Regex

Before



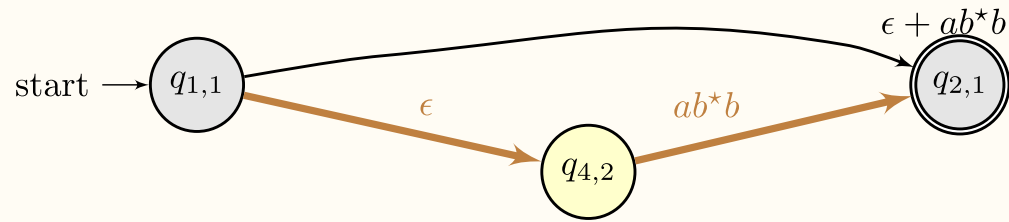
After



# Exercise 8

## Convert an NFA into a Regex

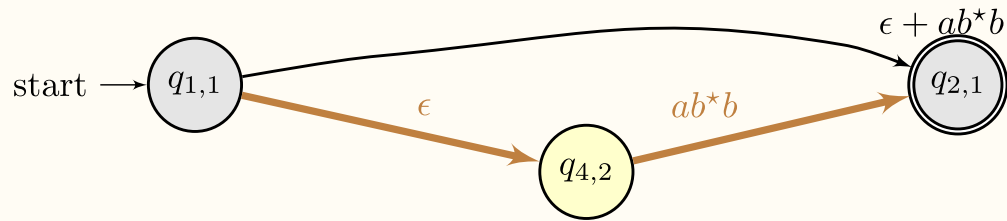
Before



# Exercise 8

## Convert an NFA into a Regex

Before



After

