CS420

Introduction to the Theory of Computation

Lecture 12: Regular expressions & NFAs

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Today we will learn...



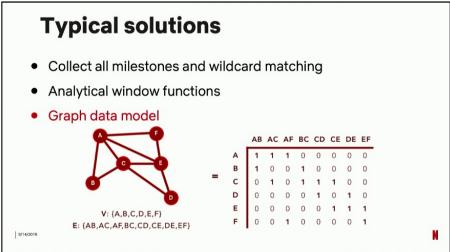
- Define a data type that represents Regular Expressions
- Define inductively acceptance for Regular Expressions
- Define equivalence of Regular Expressions







Sept 13-14, 2019 thestrangeloop.com



www.youtube.com/watch?

v=WykSdgtLDD0

Pattern Matching @ Scale Using Finite State Machine, by Ajit Koti and Rashmi Shamprasad

Learn how Netflix engineers use Regular Expressions to explore their data.

Implementing Regular Expressions



- We identified a set of language-operators
- We want to explore their expressiveness:
 What kind of questions can we pose using that set of operators?
- How do we implement such theory in Coq?

Regular expressions



Inductive definition

```
Inductive regex :=
    | r_void: regex
    | r_nil: regex
    | r_char: Ascii.ascii → regex
    | r_app: regex → regex → regex
    | r_union: regex → regex → regex
    | r_star: regex → regex.
```

Informal description

- r_void: represents the Void language
- r_nil: the empty string Nil language
- r_char: the Char language
- r_union: represents the union of two languages
- r_app: represents concatenation of languages
- r_star: represents zero-or-more copies of an expression

Regular expression Coq notation



Informal description

- r_void: the Void language
- r_nil: the Nil language
- r_char: the Char language
- r_union: the Union operator (notation r1 | | r2)
- r_app: the Append operator (notation r1;; r2)
- r_star: the Star operator

Exercises

- 1. Strings with a's and b's that end with "aa" aa", "aaa", "baaa", "bbbbbaa"
- 2. Strings that have at an even number of a's "aa", "", "aaaa", "aaaaaa"
- 3. Nonempty strings that only contain any number of a's and b's "a", "b", "abaaa", "bbbbb", "abaaa"
- 4. Strings that interleave one "a" with one "b" "a", "b", "ab", "ba", "bab", "bab", "baba"



Strings with a's and b's that end with "aa" Examples: "aa", "aaa", "baaa", "bbbbbaa"



Strings with a's and b's that end with "aa" Examples: "aa", "aaa", "baaa", "bbbbbaa" Solution

 $(a||b)^\star \cdot aa$



Strings that have at an even number of a's Examples: "aa", "", "aaaaa", "aaaaaa"



Strings that have at an even number of a's Examples: "aa", "", "aaaaa", "aaaaaa" Solution

 $(aa)^{\star}$



Nonempty strings that only contain any number of a's and b's Examples: "a", "b", "ab", "aaaaa", "bbbbb", "abaaa"



Nonempty strings that only contain any number of a's and b's Examples: "a", "b", "ab", "aaaaa", "bbbbb", "abaaa" Solution

$$(a||b)^{\star}\cdot(a||b)$$



Strings that interleave one "a" with one "b" Examples: "a", "b", "ab", "bab", "bab", "bab", "baba"



Strings that interleave one "a" with one "b" Examples: "a", "b", "ab", "ba", "aba", "bab", "abab", "baba" Solution

 $(ab)^{\star}||(ab)^{\star}a||(ba)^{\star}||(ba)^{\star}b|$

Inductive propositions: acceptance



Rules accept_nil and accept_char

$$[c] \in \mathtt{r_nil}$$
 $[c] \in c$

$$[c] \in c$$

Rule accept_app

$$rac{w_1 \in R_1 \qquad w_2 \in R_2}{w_1 \cdot w_2 \in R_1;; R_2}$$

Rules accept_union_l and accept_union_r

$$rac{w \in R_1}{w \in R_1 \mid\mid R_2}$$

$$egin{array}{c} w \in R_1 \ w \in R_1 \mid\mid R_2 \end{array} \qquad egin{array}{c} w \in R_2 \ w \in R_1 \mid\mid R_2 \end{array}$$

Rules accept_star_nil and accept_star_cons_neg

$$[] \in R^\star$$

$$rac{w_1
eq [] \qquad w_1 \in R \qquad w_2 \in R^\star}{w_1 \cdot w_2 \in R^\star}$$

Regex.v

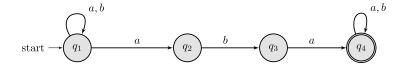
Nondeterministic Finite Automata (NFA)

NFA by example



Strings with a's and b's that end with "aa" Examples: "aa", "aaa", "baaa", "bbbbbbaa"

State diagram



About

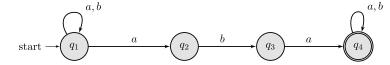
- The diagram is a *graph*
- Nodes are called states
- Edges are called transition
- Accepting a word: a path in the graph

- Initial state, identified start →
- Accepting state, double edge
- Consume one character per transition
- Comma in transitions means OR

NFA by example



Strings with a's and b's that end with "aa" Examples: "aa", "aaa", "baaa", "bbbbbbaa"

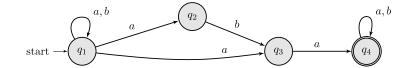


- 1. In q_1 read as many a's and b's as needed
- 2. Eventually, read one a and move to q_2
- 3. Finally, if we are able to read two a's, then we can accept the string (q_3)

As long as we can find **one** path, we can accept the input. There may exist multiple paths in the same state diagram (nondeterminism).



Strings that have at an even number of a's Examples: "aa", "", "aaaaa", "aaaaaa"



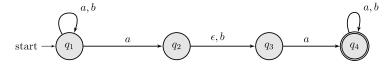
- 1. State q_1 accepts the empty string
- 2. If we consume an a, then we have read an odd-number of a's. Thus, q_2 is non-accepting
- 3. If we read another a, we have read an even-number of a's Thus, we go back to q_1 , which is an accepting state.



Nonempty strings that only contain any number of a's and b's Examples: "a", "b", "ab", "aaaaa", "bbbbb", "abaaa"



Nonempty strings that only contain any number of a's and b's Examples: "a", "b", "ab", "aaaaa", "bbbbb", "abaaa"



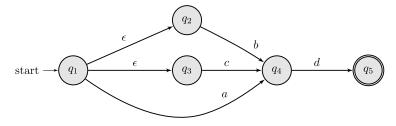
- In state q_1 we can read as many a's as we want
- ullet Eventually, we read at least one a or b and proceed to q_2



Strings that interleave one "a" with one "b" Examples: "a", "b", "ab", "bab", "bab", "bab", "baba"



Strings that interleave one "a" with one "b" Examples: "a", "b", "ab", "ba", "aba", "bab", "abab", "baba"



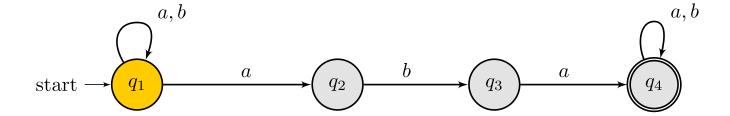
- We start in an accepting state
- Reading an a moves us to q_2 which expects a b
- Reading a b moves us to q_3 which expects an a
- All states are accepting. However, not all strings are accepted.



Acceptance is path finding

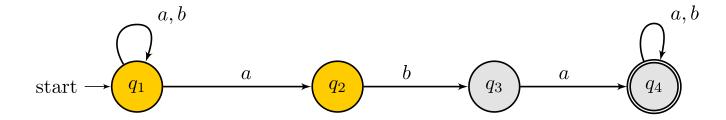
The given string must be a path from the starting node into the accepting node.

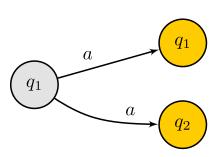




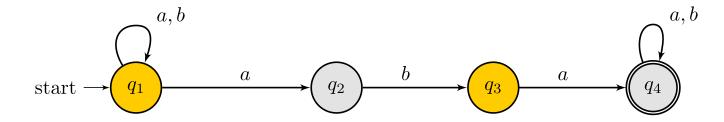


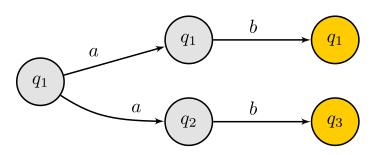




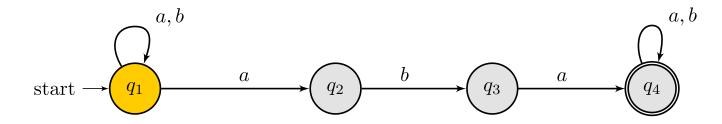


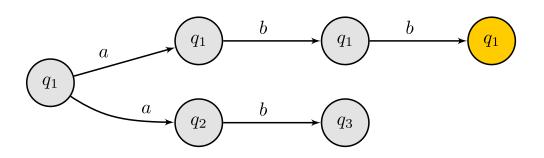




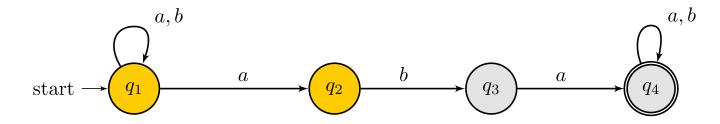


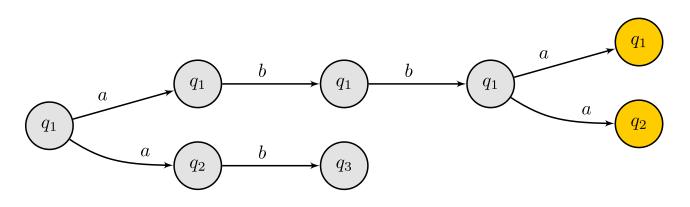




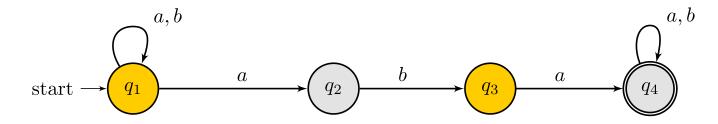


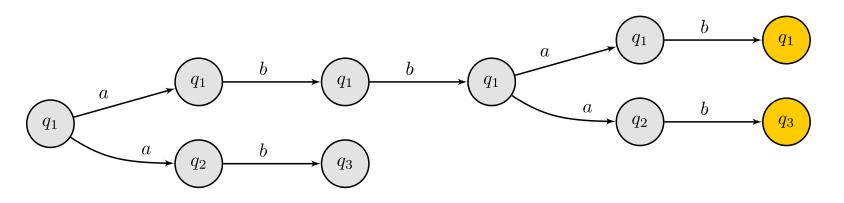




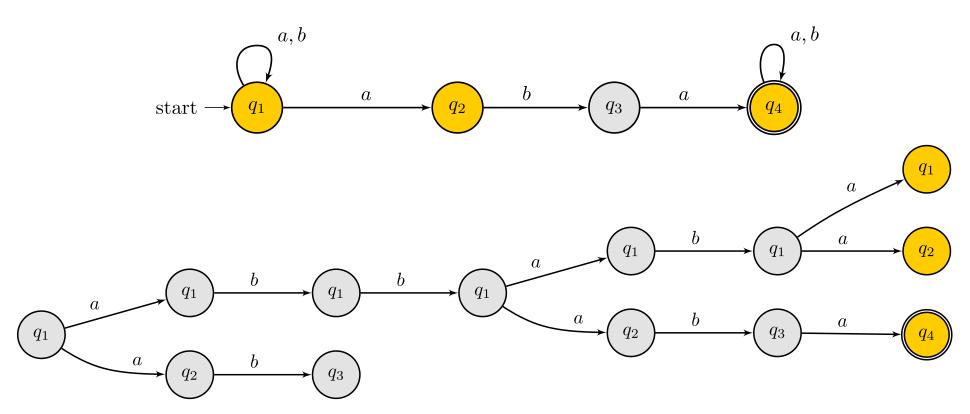














- There are multiple concurrent possible paths and a current state
- Given a current state, if there are no transitions for a given input, the path ends
- Once we reach the final path, we check if the there are accepting states

Epsilon transitions

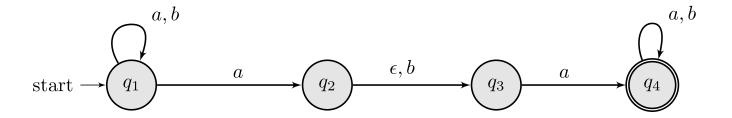
Epsilon transitions



Exercise 2

Let $\Sigma = \{a, b\}$. Give an NFA with four states that recognizes the following language

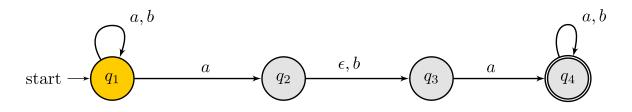
 $\{w \mid w \text{ contains the strings } aba \text{ or } aa\}$



Note

• NFAs can also include ϵ transitions, which may be taken without consuming an input

Exercise 2: acceptance of **a**aba

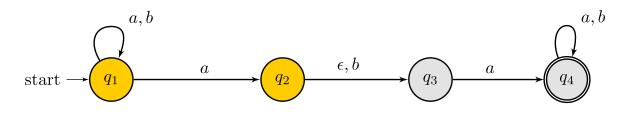


Interleave

Read a

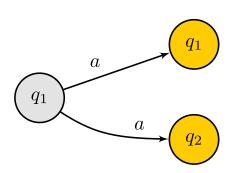
input with ϵ .

Exercise 2: acceptance of acaba

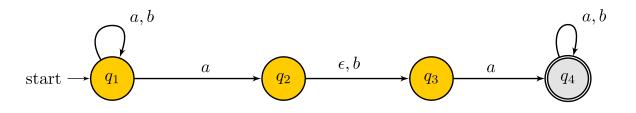


Interleave input with ϵ .

Read ∈

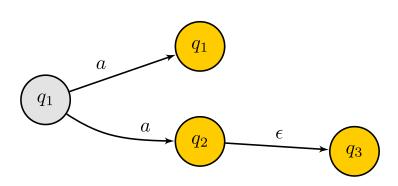


Exercise 2: acceptance of aaba

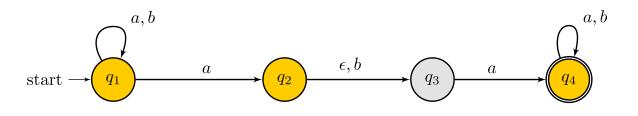


Interleave input with ϵ .

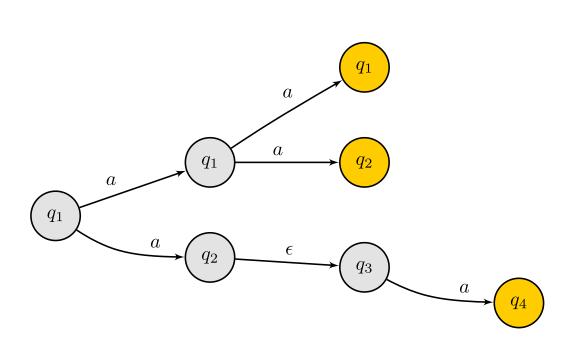
Read a



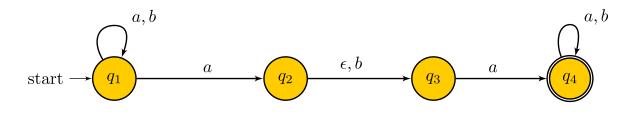
Exercise 2: acceptance of aabea



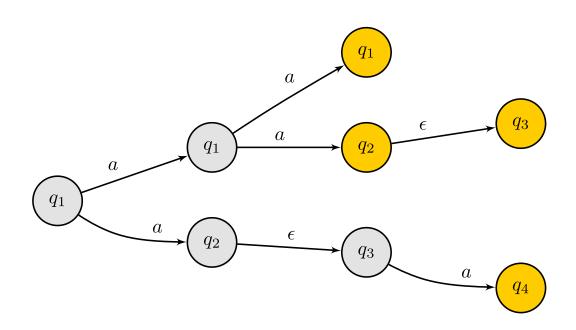
Interleave input with ϵ . Read ϵ



Exercise 2: acceptance of aaba

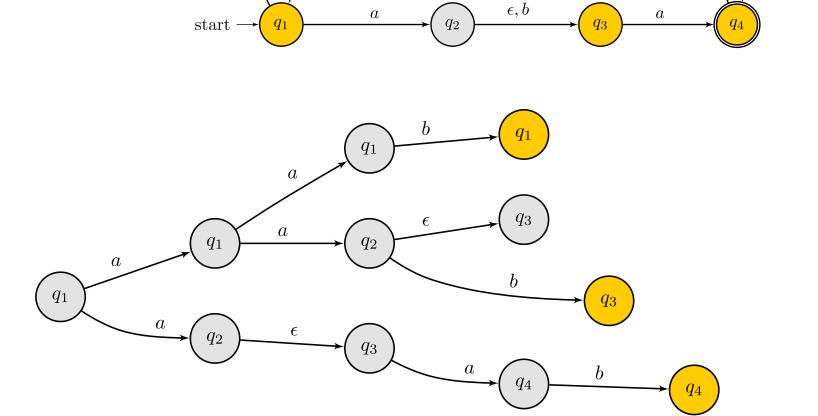


Interleave input with ϵ . **Read b**



Exercise 2: acceptance of aaba

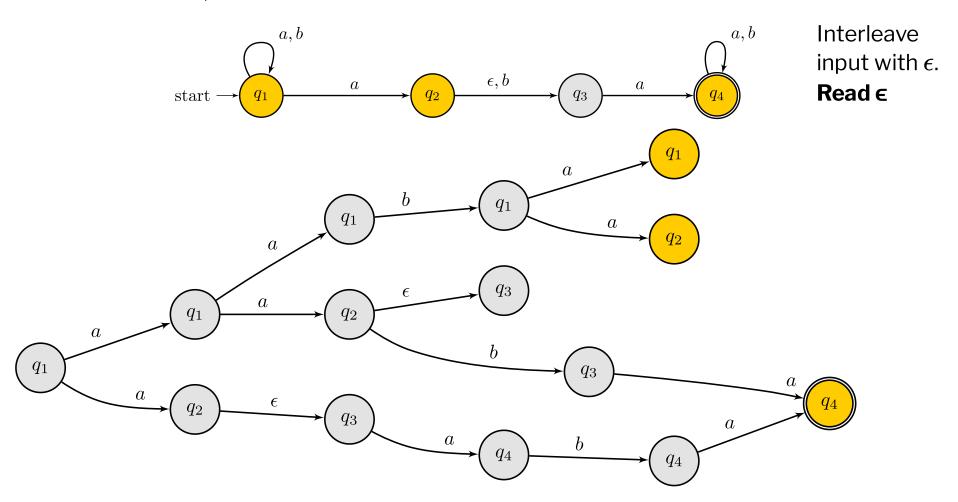
a, b



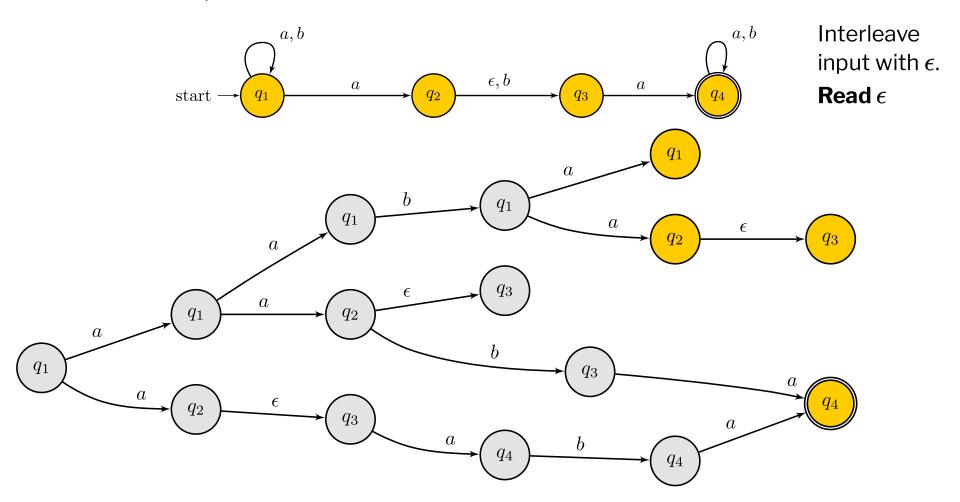
Interleave input with ϵ . **Read a**

a, b

Exercise 2: acceptance of aaba€



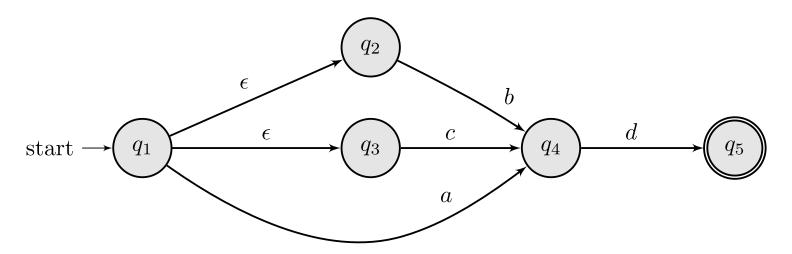
Exercise 2: acceptance of aaba



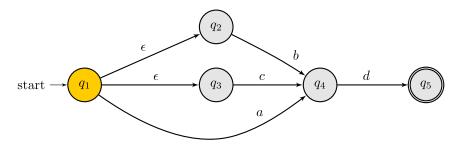
Note ϵ transitions in the initial state



We looked at ϵ in the middle of the state diagram. Let us observe their effect in the initial state.



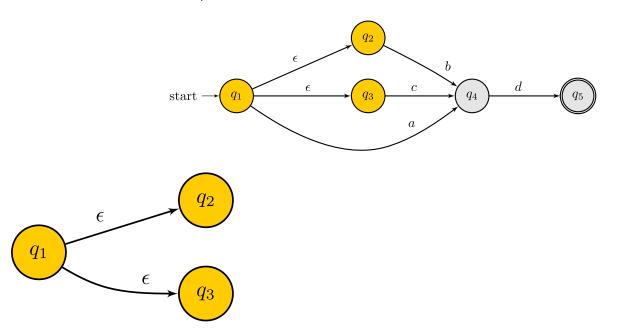
Exercise 2: acceptance of bd



 $\mathbf{Read}\,\epsilon$

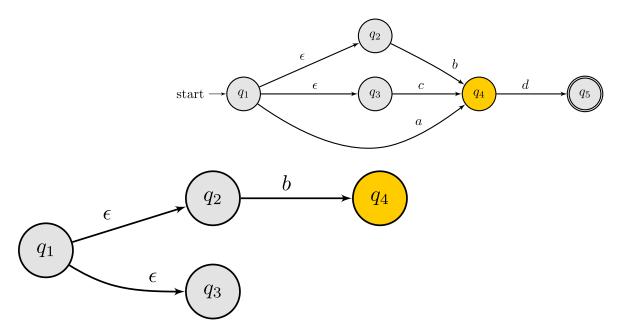


Exercise 2: acceptance of bd



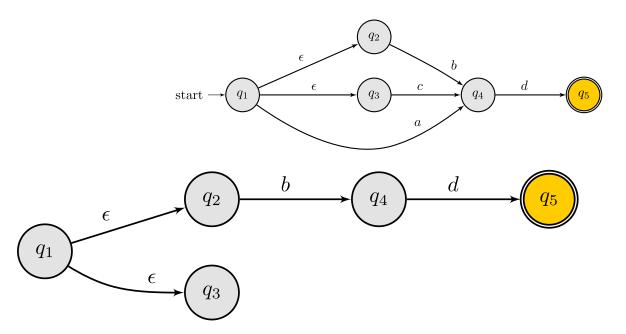
Read b

Exercise 2: acceptance of bd



Read ϵ and then read d

Exercise 2: acceptance of bd



Accepted!